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To: Board Members **Date:** October 20, 2023

From: Steve Sodergren Telephone: (916) 574-7904

Executive Officer

Subject: Examinations Report – October 2023

EXAMINATION PASS RATES

Attached for your review are the examination statistics by school. A total of 5,719 exams were administered in the 1st quarter of FY 2023/2024. This represents an approximate 11.2% increase in exam administrations from the 4th quarter of FY 2022/2023. For a detailed view of the school pass rate, please see Attachment A-Exam School Report 1st Quarter FY 2023/2024.

		4th QTR	FY 2022/20	23		1st QTR	FY 2023/202	24					
	Total	Pass %	TOTAL 1st Time	Pass % 1st Time	Total	Pass %	TOTAL 1st Time	Pass % 1st Time					
				LMFT									
Law & Ethics	1,127	76%	844	82%	1,217	65%	889	74%					
Clinical	869	64%	539	81%	854	65%	549	84%					
				LCSW									
Law & Ethics	1,293	71%	996	74%	1,705	73%	1,219	78%					
ASWB	1,061	56%	666	76%	1,111	52%	669	73%					
	SWB 1,061 56% 666 76% 1,111 52% 669 73% LPCC												
Law & Ethics	518	63%	393	65%	555	68%	412	73%					
NCMHCE	212	80%	158	87%	203	69%	147	80%					
				LEP									
LEP	63	65%	52	67%	74	64%	52	77%					
				TOTALS									
Total	5,143				5,719								

EXAMINATION DEVELOPMENT

Six examination development workshops were conducted from July 1, 2023 through September 30, 2023. Three workshops were in-person at the Office of Professional Examination Services (OPES) office in Sacramento, CA and three workshops were remote. Board staff continues to work with OPES on recruiting subject matter experts (SME) for the various Board administered exams.

ASWB

ASWB is undergoing an exam vendor change effective 1/2/24 from Pearson Vue to PSI. ASWB has notified candidates who have registered for the exam and the Board posted an announcement on social media.

As ASWB transitions to PSI, no exams will be allowed between 12/16/23 and 1/1/24. Exam registrations submitted on or before 10/31/23 will have the opportunity to schedule with Pearson Vue through 12/15/23. Should the exam not be taken by 12/15/23, these candidates will not be able to schedule with PSI until 1/2/24 for an exam date of their choosing. Exam registrations submitted on or after 11/1/23 will have the opportunity to schedule with PSI immediately for an exam date of 1/2/24 or later.

ASWB will provide the Board with a report in November to assist the exam unit in working with candidates who registered on or before 10/31/23 and whose eligibility may be affected with the blackout dates between 12/16/23 and 1/2/24 and the inability to schedule with PSI until 1/2/24. Affected candidates may be provided with an exam extension. These candidates will be notified by e-mail or by mail should there not be an e-mail address on file.

OCCUPATIONAL ANALYSIS AND EXAMINATION OUTLINE FOR THE LAW & ETHICS EXAMS

The Board requested that the OPES conduct an occupational analysis (OA) and update the examination outline for the Licensed Marriage and Family Therapy (LMFT), Licensed Clinical Social Worker (LCSW), and Licensed Professional Clinical Counselor Law and Ethics Examinations. The purpose of the OA was to identify the tasks and knowledge required for legal and ethical LMFT, LCSW, and LPCC practice in California. The results of the OA were then used to develop the California Law and Ethics Examinations. The final examination outlines are attached.

Attachments

Attachment A: Exam School Report 1st Quarter FY 2023/2024.

Attachment B: Occupational Analysis and Examination Outline for the Licensed

Marriage and Family Therapist Law and Ethics Examination

Attachment C: Occupational Analysis and Examination Outline for the Licensed

Clinical Social Worker Law and Ethics Examination

Attachment D: Occupational Analysis and Examination Outline for the Licensed

Professional Clinical Counselor Law and Ethics Examination

Board of Behavioral Sciences EXAM RESULTS BY SCHOOL

EXAM DATES: Jul 1, 2023 THROUGH Sep 30, 2023

LICENSE TYPE: LCSW

EXAM: LCSW Clinical Exam (ASWB)

SCHOOL			E	XAM RESULT	ΓS				FIRST TIMER		
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
Azusa Pacific University, Azusa	103	27	13	48%	14	52%	11	9	82%	2	18%
BRANDMAN UNIVERSITY	147	4	2	50%	2	50%	3	2	67%	1	33%
Cal Poly Humbolt	014	6	3	50%	3	50%	4	3	75%	1	25%
California Baptist University	146	9	1	11%	8	89%	5	1	20%	4	80%
California State University, Bakersfield	002	25	13	52%	12	48%	18	12	67%	6	33%
California State University, Chico	003	12	5	42%	7	58%	6	4	67%	2	33%
California State University, Dominguez Hills	004	26	6	23%	20	77%	15	6	40%	9	60%
California State University, Fresno	005	40	14	35%	26	65%	18	8	44%	10	56%
California State University, Fullerton	006	31	23	74%	8	26%	27	22	81%	5	19%
California State University, Hayward	007	40	12	30%	28	70%	20	10	50%	10	50%
California State University, Long Beach	800	91	40	44%	51	56%	52	35	67%	17	33%
California State University, Los Angeles	009	50	22	44%	28	56%	30	20	67%	10	33%
California State University, Monterey Bay	018	15	7	47%	8	53%	7	3	43%	4	57%
California State University, Northridge	010	47	21	45%	26	55%	22	15	68%	7	32%
California State University, Sacramento	011	40	21	52%	19	48%	23	19	83%	4	17%
California State University, San Bernardino	012	31	17	55%	14	45%	22	15	68%	7	32%
California State University, San Marcos	019	16	9	56%	7	44%	13	9	69%	4	31%
California State University, Stanislaus	013	21	6	29%	15	71%	6	3	50%	3	50%
Loma Linda University	125	17	9	53%	8	47%	8	7	88%	1	12%
OUT-OF-COUNTRY	400	7	3	43%	4	57%	3	2	67%	1	33%
Out-of-State	300	175	118	67%	57	33%	124	104	84%	20	16%

EXAM RESULTS BY SCHOOL

EXAM DATES: Jul 1, 2023 THROUGH Sep 30, 2023

SCHOOL			E	XAM RESULT	TS .				FIRST TIMER		
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
San Diego State University	015	29	22	76%	7	24%	25	21	84%	4	16%
San Francisco State University	016	13	10	77%	3	23%	9	8	89%	1	11%
San Jose State University	017	56	33	59%	23	41%	28	25	89%	3	11%
UC, Berkeley	050	16	14	88%	2	12%	13	13	100%	0	0%
UC, Los Angeles	052	14	11	79%	3	21%	11	10	91%	1	9%
University of Southern California, Los Angeles	145	253	127	50%	126	50%	146	105	72%	41	28%

LCSW Clinical Exam (ASWB) TOTAL: 1,111 582 52% 529 48% 669 491 73% 178 27%

EXAM: LCSW Law and Ethics

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SCHOOL			E	XAM RESULT	TS .				FIRST TIMER		
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
Azusa Pacific University,	20	1	0	0%	1	100%	0	0		0	
Azusa Pacific University, Azusa	103	43	35	81%	8	19%	33	29	88%	4	12%
BRANDMAN UNIVERSITY	147	37	26	70%	11	30%	27	20	74%	7	26%
Cal Poly Humbolt	014	10	8	80%	2	20%	4	3	75%	1	25%
California Baptist University	146	38	20	53%	18	47%	20	12	60%	8	40%
California State University, Bakersfield	002	44	29	66%	15	34%	25	20	80%	5	20%
California State University, Chico	003	22	15	68%	7	32%	15	10	67%	5	33%
California State University, Dominguez Hills	004	53	36	68%	17	32%	33	26	79%	7	21%
California State University, Fresno	005	26	16	62%	10	38%	15	14	93%	1	7%
California State University, Fullerton	006	38	31	82%	7	18%	33	28	85%	5	15%
California State University, Hayward	007	38	17	45%	21	55%	18	8	44%	10	56%
California State University, Long Beach	800	127	99	78%	28	22%	104	88	85%	16	15%
California State University, Los Angeles	009	55	42	76%	13	24%	40	31	78%	9	22%
California State University, Monterey Bay	018	21	14	67%	7	33%	19	12	63%	7	37%

EXAM RESULTS BY SCHOOL

EXAM DATES: Jul 1, 2023 THROUGH Sep 30, 2023

SCHOOL			E	XAM RESULT	ΓS				FIRST TIMER		
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
California State University, Northridge	010	67	52	78%	15	22%	49	41	84%	8	16%
California State University, Sacramento	011	37	27	73%	10	27%	29	20	69%	9	31%
California State University, San Bernardino	012	57	47	82%	10	18%	40	35	88%	5	12%
California State University, San Marcos	019	28	19	68%	9	32%	25	17	68%	8	32%
California State University, Stanislaus	013	31	28	90%	3	10%	18	16	89%	2	11%
Loma Linda University	125	21	14	67%	7	33%	16	11	69%	5	31%
OUT-OF-COUNTRY	400	5	3	60%	2	40%	4	2	50%	2	50%
Out-of-State	300	415	308	74%	107	26%	330	253	77%	77	23%
San Diego State University	015	30	24	80%	6	20%	28	22	79%	6	21%
San Francisco State University	016	15	13	87%	2	13%	12	11	92%	1	8%
San Jose State University	017	88	61	69%	27	31%	64	46	72%	18	28%
UC, Berkeley	050	38	29	76%	9	24%	30	25	83%	5	17%
UC, Los Angeles	052	44	41	93%	3	7%	37	35	95%	2	5%
University of Southern California, Los Angeles	145	270	182	67%	88	33%	146	110	75%	36	25%
UNIVERSITY OF THE PACIFIC	148	6	2	33%	4	67%	5	2	40%	3	60%

LCSW Law and Ethics TOTAL: 1,705 1,238 73% 467 27% 1,219 947 78% 272 22%

LICENSE TYPE: LEP

EXAM: LEP Standard Written Exam

SCHOOL			E	EXAM RESULT	-S		FIRST TIMER					
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	
Alliant International University (aka CSPP)	112	9	4	44%	5	56%	4	3	75%	1	25%	
Antioch University, Los Angeles	241	1	1	100%	0	0%	1	1	100%	0	0%	
Azusa Pacific University, Azusa	103	1	1	100%	0	0%	1	1	100%	0	0%	
Cal Poly Humbolt	014	3	2	67%	1	33%	3	2	67%	1	33%	

EXAM RESULTS BY SCHOOL

SCHOOL				XAM RESULT	-S				FIRST TIMER		
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
California State University, Chico	003	2	2	100%	0	0%	2	2	100%	0	0%
California State University, Dominguez Hills	004	1	0	0%	1	100%	0	0		0	
California State University, Fresno	005	2	2	100%	0	0%	2	2	100%	0	0%
California State University, Fullerton	006	1	0	0%	1	100%	1	0	0%	1	100%
California State University, Hayward	007	4	4	100%	0	0%	3	3	100%	0	0%
California State University, Long Beach	800	2	1	50%	1	50%	0	0		0	
California State University, Los Angeles	009	1	0	0%	1	100%	0	0		0	
California State University, Northridge	010	6	5	83%	1	17%	4	3	75%	1	25%
California State University, Sacramento	011	1	1	100%	0	0%	1	1	100%	0	0%
California State University, San Bernardino	012	2	2	100%	0	0%	2	2	100%	0	0%
Chapman University, Orange	113	6	3	50%	3	50%	5	3	60%	2	40%
Fresno Pacific University	153	1	0	0%	1	100%	0	0		0	
La Sierra University	252	1	0	0%	1	100%	0	0		0	
Loyola Marymount University, Los Angeles	126	1	1	100%	0	0%	1	1	100%	0	0%
National University	129	12	4	33%	8	67%	7	2	29%	5	71%
OUT-OF-COUNTRY	400	1	1	100%	0	0%	1	1	100%	0	0%
Out-of-State	300	7	5	71%	2	29%	5	5	100%	0	0%
Phillips Graduate Institute	106	1	1	100%	0	0%	1	1	100%	0	0%
San Diego State University	015	2	2	100%	0	0%	2	2	100%	0	0%
San Francisco State University	016	1	1	100%	0	0%	1	1	100%	0	0%
UC, Santa Barbara	056	2	2	100%	0	0%	2	2	100%	0	0%
University of La Verne, La Verne	140	2	1	50%	1	50%	2	1	50%	1	50%
University of San Francisco, San Francisco	143	1	1	100%	0	0%	1	1	100%	0	0%

EXAM RESULTS BY SCHOOL

EXAM DATES: Jul 1, 2023 THROUGH Sep 30, 2023

LEP Standard Written Exam TOTAL: 74 47 64% 27 36% 52 40 77% 12 23%

LICENSE TYPE: LMFT

EXAM: LMFT Clinical Exam

SCHOOL			E	XAM RESUL	TS				FIRST TIME	?	
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
Alliant International University (aka CSPP)	112	1	1	100%	0	0%	1	1	100%	0	0%
Alliant International University (aka US International)	139	14	12	86%	2	14%	10	9	90%	1	10%
Antioch University, Los Angeles	241	29	23	79%	6	21%	23	21	91%	2	9%
Antioch University, Santa Barbara	243	15	6	40%	9	60%	9	5	56%	4	44%
Argosy University (aka American School of Prof. Psych.	204	19	5	26%	14	74%	4	1	25%	3	75%
Azusa Pacific University,	20	3	2	67%	1	33%	3	2	67%	1	33%
Azusa Pacific University, Azusa	103	21	19	90%	2	10%	17	16	94%	1	6%
Bethel Theological Seminary	152	5	5	100%	0	0%	4	4	100%	0	0%
Brandman University	253	49	30	61%	19	39%	29	22	76%	7	24%
Calif. Polytechnic State University, San Luis Obispo - Cal Poly	001	1	1	100%	0	0%	1	1	100%	0	0%
California Baptist University, Riverside	105	44	25	57%	19	43%	23	16	70%	7	30%
California Institute of Integral Studies, S.F.	107	27	24	89%	3	11%	26	24	92%	2	8%
California Lutheran University, Thousand Oaks	108	8	7	88%	1	12%	6	6	100%	0	0%
California School of Professional Psychology, Fresno	110	1	1	100%	0	0%	1	1	100%	0	0%
California Southern University	246	4	4	100%	0	0%	3	3	100%	0	0%
California State Polytechnic University, Pomona	019	5	5	100%	0	0%	5	5	100%	0	0%
California State University, Bakersfield	002	6	5	83%	1	17%	5	4	80%	1	20%
California State University, Chico	003	3	2	67%	1	33%	2	2	100%	0	0%
California State University, Dominguez Hills	004	12	7	58%	5	42%	7	6	86%	1	14%
California State University, Fresno	005	11	5	45%	6	55%	5	4	80%	1	20%
California State University, Fullerton	006	14	11	79%	3	21%	12	11	92%	1	8%

EXAM RESULTS BY SCHOOL

SCHOOL			E	XAM RESUL	TS				FIRST TIME		
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
California State University, Hayward	007	5	3	60%	2	40%	5	3	60%	2	40%
California State University, Long Beach	008	5	5	100%	0	0%	3	3	100%	0	0%
California State University, Los Angeles	009	9	6	67%	3	33%	4	4	100%	0	0%
California State University, Northridge	010	13	11	85%	2	15%	9	9	100%	0	0%
California State University, Sacramento	011	7	4	57%	3	43%	4	4	100%	0	0%
California State University, San Bernardino	012	2	2	100%	0	0%	2	2	100%	0	0%
Cambridge University	265	1	1	100%	0	0%	0	0		0	
Chapman University, Orange	113	2	1	50%	1	50%	1	1	100%	0	0%
Dominican University of California	117	5	4	80%	1	20%	4	4	100%	0	0%
Eisner Institute for Professional Studies	250	1	0	0%	1	100%	1	0	0%	1	100%
Fresno Pacific Bibilical Seminary, Fresno	127	2	2	100%	0	0%	2	2	100%	0	0%
Fresno Pacific University	153	1	1	100%	0	0%	1	1	100%	0	0%
Fuller Theological Seminary, Pasadena	119	8	8	100%	0	0%	7	7	100%	0	0%
Golden Gate University	151	4	2	50%	2	50%	3	2	67%	1	33%
Holy Names University, Oakland	122	4	0	0%	4	100%	2	0	0%	2	100%
Hope International University	131	21	11	52%	10	48%	13	10	77%	3	23%
John F. Kennedy University, Orinda	124	26	16	62%	10	38%	15	14	93%	1	7%
La Sierra University	252	2	1	50%	1	50%	1	0	0%	1	100%
Loma Linda University	125	3	1	33%	2	67%	1	1	100%	0	0%
Loyola Marymount University, Los Angeles	126	3	3	100%	0	0%	3	3	100%	0	0%
Meridian University	231	2	2	100%	0	0%	2	2	100%	0	0%
Mount St. Mary's University, Los Angeles	128	15	11	73%	4	27%	6	6	100%	0	0%
National University	129	45	20	44%	25	56%	23	17	74%	6	26%
New College of California, San Francisco	130	1	0	0%	1	100%	0	0		0	

EXAM RESULTS BY SCHOOL

SCHOOL			E)	XAM RESUL	TS				FIRST TIME	PASSED FAILED FAIL PERCENT 0 100% 0 09 75% 2 25 100% 0 09 75% 3 25		
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	
Northcentral University	256	2	1	50%	1	50%	0	0		0		
Notre Dame de Namur University	116	2	2	100%	0	0%	2	2	100%	0	0%	
OUT-OF-COUNTRY	400	1	0	0%	1	100%	0	0		0		
Out-of-State	300	16	9	56%	7	44%	8	6	75%	2	25%	
Pacific Graduate School of Psychology, Palo Alto	149	2	2	100%	0	0%	1	1	100%	0	0%	
Pacific Oaks College, Pasadena	133	27	13	48%	14	52%	12	9	75%	3	25%	
Pacifica Graduate Institute, Carpenteria	154	15	14	93%	1	7%	12	12	100%	0	0%	
Palo Alto University	258	15	9	60%	6	40%	13	8	62%	5	38%	
Pepperdine University, Malibu	135	62	49	79%	13	21%	50	42	84%	8	16%	
Phillips Graduate Institute	106	19	13	68%	6	32%	10	7	70%	3	30%	
POINT LOMA NAZARENE UNIVERSITY	261	8	7	88%	1	12%	8	7	88%	1	12%	
Ryokan College, Los Angeles	216	1	0	0%	1	100%	0	0		0		
San Diego State University	015	7	7	100%	0	0%	6	6	100%	0	0%	
San Francisco State University	016	6	4	67%	2	33%	4	4	100%	0	0%	
San Jose State University	017	4	4	100%	0	0%	4	4	100%	0	0%	
Santa Clara University	144	12	11	92%	1	8%	11	10	91%	1	9%	
Simpson University	254	1	1	100%	0	0%	1	1	100%	0	0%	
Sonoma State University	018	2	2	100%	0	0%	2	2	100%	0	0%	
Southern California Seminary (aka Southern CA Bible College and Seminary)	237	2	0	0%	2	100%	0	0		0		
St. Mary's College of CA, Moraga	136	8	6	75%	2	25%	6	6	100%	0	0%	
The Chicago School of Professional Psychology at Los Angeles	251	11	5	45%	6	55%	6	3	50%	3	50%	
TOURO UNIVERSITY	262	13	10	77%	3	23%	10	9	90%	1	10%	
Trinity College of Graduate Studies, Orange	201	1	0	0%	1	100%	0	0		0		
University of La Verne, La Verne	140	6	5	83%	1	17%	4	4	100%	0	0%	
University of Phoenix, Sacramento	238	2	0	0%	2	100%	0	0		0		
University of Phoenix, San Diego	236	68	18	26%	50	74%	22	15	68%	7	32%	
University of San Diego, San Diego	142	7	6	86%	1	14%	7	6	86%	1	14%	

EXAM RESULTS BY SCHOOL

EXAM DATES: Jul 1, 2023 THROUGH Sep 30, 2023

SCHOOL			E)	XAM RESUL	TS				FIRST TIMEF	?	
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
University of San Francisco, San Francisco	143	29	23	79%	6	21%	24	22	92%	2	8%
University of Southern California, Los Angeles	145	4	4	100%	0	0%	4	4	100%	0	0%
Vanguard University of Southern California	156	6	4	67%	2	33%	3	2	67%	1	33%
Western Seminary (Western Conservative Baptist Seminary)	232	6	4	67%	2	33%	3	3	100%	0	0%
William Jessup University	266	2	2	100%	0	0%	1	1	100%	0	0%
Wright Institute, Berkeley	150	8	7	88%	1	12%	7	7	100%	0	0%

65%

297

35%

549

462

84%

87

16%

557

EXAM: LMFT Law and Ethics

LMFT Clinical Exam TOTAL: 854

SCHOOL			E	XAM RESUL	TS				FIRST TIMER	?	
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
Alliant International University (aka CSPP)	112	6	6	100%	0	0%	4	4	100%	0	0%
Alliant International University (aka US International)	139	37	28	76%	9	24%	31	26	84%	5	16%
Antioch University, Los Angeles	241	70	53	76%	17	24%	58	49	84%	9	16%
Antioch University, Santa Barbara	243	17	12	71%	5	29%	15	12	80%	3	20%
Argosy University (aka American School of Prof. Psych.	204	10	3	30%	7	70%	4	2	50%	2	50%
Azusa Pacific University, Azusa	103	21	18	86%	3	14%	17	16	94%	1	6%
Bethel Theological Seminary	152	2	2	100%	0	0%	0	0		0	
Brandman University	253	43	18	42%	25	58%	27	13	48%	14	52%
Cal Poly Humbolt	014	4	4	100%	0	0%	2	2	100%	0	0%
Calif. Polytechnic State University, San Luis Obispo - Cal Poly	001	7	5	71%	2	29%	7	5	71%	2	29%
California Baptist University, Riverside	105	58	28	48%	30	52%	34	23	68%	11	32%
California Graduate Institute, Los Angeles	203	1	0	0%	1	100%	0	0		0	
California Institute of Integral Studies, S.F.	107	41	28	68%	13	32%	30	25	83%	5	17%
California Lutheran University, Thousand Oaks	108	12	11	92%	1	8%	11	10	91%	1	9%

EXAM RESULTS BY SCHOOL

SCHOOL			E.	XAM RESUL	ΓS		FIRST TIMER				
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
California Southern University	246	8	4	50%	4	50%	4	2	50%	2	50%
California State Polytechnic University, Pomona	019	5	5	100%	0	0%	5	5	100%	0	0%
California State University, Bakersfield	002	6	4	67%	2	33%	5	3	60%	2	40%
California State University, Chico	003	3	3	100%	0	0%	2	2	100%	0	0%
California State University, Dominguez Hills	004	13	7	54%	6	46%	10	5	50%	5	50%
California State University, Fresno	005	18	11	61%	7	39%	11	8	73%	3	27%
California State University, Fullerton	006	24	17	71%	7	29%	24	17	71%	7	29%
California State University, Hayward	007	28	18	64%	10	36%	23	16	70%	7	30%
California State University, Long Beach	800	6	6	100%	0	0%	6	6	100%	0	0%
California State University, Los Angeles	009	7	4	57%	3	43%	4	2	50%	2	50%
California State University, Northridge	010	9	6	67%	3	33%	7	6	86%	1	14%
California State University, Sacramento	011	12	7	58%	5	42%	11	7	64%	4	36%
California State University, San Bernardino	012	5	5	100%	0	0%	4	4	100%	0	0%
California State University, Stanislaus	013	1	1	100%	0	0%	0	0		0	
Cambridge Graduate School of Psychology, Los Angeles	205	1	0	0%	1	100%	0	0		0	
Cambridge University	265	3	0	0%	3	100%	0	0		0	
Chapman University, Orange	113	8	7	88%	1	12%	6	5	83%	1	17%
Dominican University of California	117	12	9	75%	3	25%	11	8	73%	3	27%
Fresno Pacific Bibilical Seminary, Fresno	127	1	1	100%	0	0%	1	1	100%	0	0%
Fresno Pacific University	153	3	3	100%	0	0%	2	2	100%	0	0%
Fuller Theological Seminary, Pasadena	119	15	11	73%	4	27%	13	9	69%	4	31%
Golden Gate University	151	4	2	50%	2	50%	3	1	33%	2	67%
Holy Names University, Oakland	122	11	4	36%	7	64%	6	3	50%	3	50%
Hope International University	131	24	11	46%	13	54%	16	7	44%	9	56%

EXAM RESULTS BY SCHOOL

SCHOOL			E)	XAM RESUL	TS		FIRST TIMER				
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
John F. Kennedy University, Orinda	124	7	2	29%	5	71%	3	1	33%	2	67%
La Sierra University	252	1	0	0%	1	100%	1	0	0%	1	100%
Loma Linda University	125	10	8	80%	2	20%	7	7	100%	0	0%
Loyola Marymount University, Los Angeles	126	2	1	50%	1	50%	2	1	50%	1	50%
Mount St. Mary's University, Los Angeles	128	17	9	53%	8	47%	13	7	54%	6	46%
National University	129	60	33	55%	27	45%	44	29	66%	15	34%
Northcentral University	256	7	4	57%	3	43%	7	4	57%	3	43%
Notre Dame de Namur University	116	12	10	83%	2	17%	7	7	100%	0	0%
OUT-OF-COUNTRY	400	1	1	100%	0	0%	0	0		0	
Out-of-State	300	36	24	67%	12	33%	21	15	71%	6	29%
Pacific Oaks College, Pasadena	133	39	15	38%	24	62%	16	8	50%	8	50%
Pacifica Graduate Institute, Carpenteria	154	12	8	67%	4	33%	9	6	67%	3	33%
Palo Alto University	258	22	17	77%	5	23%	18	14	78%	4	22%
Pepperdine University, Malibu	135	117	80	68%	37	32%	95	69	73%	26	27%
Phillips Graduate Institute	106	18	13	72%	5	28%	12	10	83%	2	17%
POINT LOMA NAZARENE UNIVERSITY	261	5	5	100%	0	0%	2	2	100%	0	0%
San Diego State University	015	12	10	83%	2	17%	10	9	90%	1	10%
San Diego University for Integrative Studies	244	1	1	100%	0	0%	1	1	100%	0	0%
San Francisco State University	016	4	4	100%	0	0%	4	4	100%	0	0%
San Jose State University	017	2	1	50%	1	50%	2	1	50%	1	50%
Santa Clara University	144	26	19	73%	7	27%	23	18	78%	5	22%
Simpson University	254	7	6	86%	1	14%	5	5	100%	0	0%
Sofia University, San Jose	155	2	2	100%	0	0%	2	2	100%	0	0%
Sonoma State University	018	7	7	100%	0	0%	7	7	100%	0	0%
Southern California School of Theology: Theological Studies	138	1	0	0%	1	100%	0	0		0	
Southern California Seminary (aka Southern CA Bible College and Seminary)	237	3	0	0%	3	100%	1	0	0%	1	100%
St. Mary's College of CA, Moraga	136	16	10	62%	6	38%	14	8	57%	6	43%

EXAM RESULTS BY SCHOOL

EXAM DATES: Jul 1, 2023 THROUGH Sep 30, 2023

SCHOOL			E)	XAM RESUL	TS				FIRST TIME	?	
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
The Chicago School of Professional Psychology at Los Angeles	251	19	10	53%	9	47%	10	7	70%	3	30%
TOURO UNIVERSITY	262	29	19	66%	10	34%	23	16	70%	7	30%
UMASS Global	272	2	1	50%	1	50%	2	1	50%	1	50%
University of La Verne, La Verne	140	8	8	100%	0	0%	8	8	100%	0	0%
University of Phoenix, Sacramento	238	2	1	50%	1	50%	1	0	0%	1	100%
University of Phoenix, San Diego	236	49	11	22%	38	78%	14	6	43%	8	57%
University of San Diego, San Diego	142	8	7	88%	1	12%	6	6	100%	0	0%
University of San Francisco, San Francisco	143	48	37	77%	11	23%	40	30	75%	10	25%
University of Southern California, Los Angeles	145	12	8	67%	4	33%	11	8	73%	3	27%
Vanguard University of Southern California	156	5	4	80%	1	20%	4	3	75%	1	25%
Weimar Institute	271	1	1	100%	0	0%	1	1	100%	0	0%
Western Seminary (Western Conservative Baptist Seminary)	232	8	6	75%	2	25%	6	6	100%	0	0%
William Jessup University	266	5	5	100%	0	0%	5	5	100%	0	0%
World University of America	226	1	1	100%	0	0%	1	1	100%	0	0%
Wright Institute, Berkeley	150	17	17	100%	0	0%	17	17	100%	0	0%

LICENSE TYPE: LPCC

EXAM: LPCC Law and Ethics

LMFT Law and Ethics TOTAL: 1,217

SCHOOL			E.	XAM RESUL	TS				FIRST TIMEF	?	
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
Alliant International University (aka CSPP)	112	8	6	75%	2	25%	5	3	60%	2	40%
Alliant International University (aka US International)	139	2	2	100%	0	0%	0	0		0	
Antioch University, Los Angeles	241	2	2	100%	0	0%	2	2	100%	0	0%
Antioch University, Santa Barbara	243	4	4	100%	0	0%	4	4	100%	0	0%
Azusa Pacific University, Azusa	103	22	14	64%	8	36%	14	11	79%	3	21%
Bastyr University	267	3	3	100%	0	0%	3	3	100%	0	0%

65%

429

35%

889

656

74%

788

26%

233

EXAM RESULTS BY SCHOOL

SCHOOL			E)	XAM RESUL	TS		FIRST TIMER				
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
Bethel Theological Seminary	152	1	1	100%	0	0%	0	0		0	
Brandman University	253	10	6	60%	4	40%	5	4	80%	1	20%
California Baptist University, Riverside	105	18	15	83%	3	17%	15	13	87%	2	13%
California Institute of Integral Studies, S.F.	107	3	2	67%	1	33%	3	2	67%	1	33%
California State University, Fresno	005	9	4	44%	5	56%	1	1	100%	0	0%
California State University, Fullerton	006	9	8	89%	1	11%	9	8	89%	1	11%
California State University, Hayward	007	4	3	75%	1	25%	4	3	75%	1	25%
California State University, Long Beach	800	1	1	100%	0	0%	1	1	100%	0	0%
California State University, Los Angeles	009	6	4	67%	2	33%	4	3	75%	1	25%
California State University, Northridge	010	4	4	100%	0	0%	4	4	100%	0	0%
California State University, Sacramento	011	12	9	75%	3	25%	8	6	75%	2	25%
California State University, San Bernardino	012	13	9	69%	4	31%	9	7	78%	2	22%
California State University, Stanislaus	013	8	4	50%	4	50%	3	2	67%	1	33%
Chapman University, Orange	113	5	4	80%	1	20%	4	3	75%	1	25%
Concordia University	268	8	6	75%	2	25%	6	5	83%	1	17%
Dominican University of California	117	2	2	100%	0	0%	2	2	100%	0	0%
Fuller Theological Seminary, Pasadena	119	2	2	100%	0	0%	2	2	100%	0	0%
Golden Gate University	151	1	1	100%	0	0%	1	1	100%	0	0%
GRAND CANYON UNIVERSITY	264	1	0	0%	1	100%	0	0		0	
Holy Names University, Oakland	122	3	2	67%	1	33%	3	2	67%	1	33%
Hope International University	131	2	2	100%	0	0%	2	2	100%	0	0%
John F. Kennedy University, Orinda	124	2	1	50%	1	50%	0	0		0	
LA SIERRA	252	2	1	50%	1	50%	2	1	50%	1	50%
Loma Linda University	125	2	1	50%	1	50%	2	1	50%	1	50%
Loyola Marymount University, Los	126	5	3	60%	2	40%	3	1	33%	2	67%

EXAM RESULTS BY SCHOOL

SCHOOL	SCHOOL				TS				FIRST TIME	₹	
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
Angeles										ĺ	
Mount St. Mary's University, Los Angeles	128	1	1	100%	0	0%	1	1	100%	0	0%
National University	129	10	7	70%	3	30%	5	3	60%	2	40%
Notre Dame de Namur University	116	4	3	75%	1	25%	3	2	67%	1	33%
Out-of-State	300	208	134	64%	74	36%	159	107	67%	52	33%
Pacific Graduate School of Psychology, Palo Alto	149	2	1	50%	1	50%	2	1	50%	1	50%
Pacific Oaks College, Pasadena	133	2	1	50%	1	50%	2	1	50%	1	50%
Pacifica Graduate Institute, Carpenteria	154	1	0	0%	1	100%	1	0	0%	1	100%
Palo Alto University	258	13	11	85%	2	15%	10	10	100%	0	0%
Pepperdine University, Malibu	135	26	20	77%	6	23%	23	19	83%	4	17%
Point Loma Nazarene University	261	2	2	100%	0	0%	1	1	100%	0	0%
San Diego State University	015	16	9	56%	7	44%	14	9	64%	5	36%
San Francisco State University	016	4	4	100%	0	0%	2	2	100%	0	0%
San Jose State University	017	4	2	50%	2	50%	2	1	50%	1	50%
Santa Clara University	144	2	2	100%	0	0%	2	2	100%	0	0%
Saybrook University	137	2	2	100%	0	0%	2	2	100%	0	0%
Sofia University, San Jose	155	1	1	100%	0	0%	1	1	100%	0	0%
Sonoma State University	018	1	1	100%	0	0%	1	1	100%	0	0%
St. Mary's College of CA, Moraga	136	5	5	100%	0	0%	5	5	100%	0	0%
The Chicago School of Professional Psychology at Los Angeles	251	8	5	62%	3	38%	5	3	60%	2	40%
TOURA UNIVERSITY	262	2	2	100%	0	0%	2	2	100%	0	0%
UC, San Francisco	055	1	0	0%	1	100%	1	0	0%	1	100%
UMASS Global	272	1	0	0%	1	100%	1	0	0%	1	100%
University of La Verne, La Verne	140	10	5	50%	5	50%	7	4	57%	3	43%
University of Phoenix, San Diego	236	5	0	0%	5	100%	1	0	0%	1	100%
University of Redlands	259	6	3	50%	3	50%	4	2	50%	2	50%
University of San Diego, San Diego	142	15	10	67%	5	33%	12	8	67%	4	33%
University of San Francisco, San Francisco	143	21	13	62%	8	38%	14	9	64%	5	36%
Vanguard University of Southern	156	1	1	100%	0	0%	1	1	100%	0	0%

EXAM RESULTS BY SCHOOL

EXAM DATES: Jul 1, 2023 THROUGH Sep 30, 2023

SCHOOL			E)	XAM RESUL	TS				FIRST TIMEF	?	
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
California											
Weimar Institute	271	1	1	100%	0	0%	1	1	100%	0	0%
Western Seminary (Western Conservative Baptist Seminary)	232	2	2	100%	0	0%	2	2	100%	0	0%
William Jessup University	266	1	1	100%	0	0%	1	1	100%	0	0%
Wright Institute, Berkeley	150	3	3	100%	0	0%	3	3	100%	0	0%

LPCC Law and Ethics TOTAL: 555 378 68% 177 32% 412 301 73% 111 27%

EXAM: NCMHCE Exam

SCHOOL			E:	XAM RESUL	TS				FIRST TIME	₹	
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
Alliant International University (aka CSPP)	112	5	3	60%	2	40%	5	3	60%	2	40%
Antioch University, Los Angeles	241	1	1	100%	0	0%	0	0		0	
Antioch University, San Francisco	242	1	1	100%	0	0%	1	1	100%	0	0%
Antioch University, Santa Barbara	243	1	1	100%	0	0%	1	1	100%	0	0%
Argosy University (aka American School of Prof. Psych.	204	4	2	50%	2	50%	2	1	50%	1	50%
Azusa Pacific University, Azusa	103	9	6	67%	3	33%	5	4	80%	1	20%
Bastyr University	267	2	2	100%	0	0%	2	2	100%	0	0%
Bethel Theological Seminary	152	1	1	100%	0	0%	1	1	100%	0	0%
Brandman University	253	8	5	62%	3	38%	6	4	67%	2	33%
California Baptist University, Riverside	105	7	6	86%	1	14%	5	4	80%	1	20%
California Institute of Integral Studies, S.F.	107	1	1	100%	0	0%	1	1	100%	0	0%
California Southern University	246	2	2	100%	0	0%	2	2	100%	0	0%
California State University, Fresno	005	5	4	80%	1	20%	2	2	100%	0	0%
California State University, Fullerton	006	4	4	100%	0	0%	3	3	100%	0	0%
California State University, Long Beach	800	1	1	100%	0	0%	1	1	100%	0	0%
California State University, Los Angeles	009	3	2	67%	1	33%	3	2	67%	1	33%
California State University,	010	1	1	100%	0	0%	1	1	100%	0	0%

EXAM RESULTS BY SCHOOL

SCHOOL			E)	XAM RESUL	TS		FIRST TIMER				
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
Northridge											
California State University, Sacramento	011	3	2	67%	1	33%	2	2	100%	0	0%
California State University, San Bernardino	012	4	3	75%	1	25%	4	3	75%	1	25%
California State University, Stanislaus	013	6	3	50%	3	50%	5	2	40%	3	60%
Chapman University, Orange	113	1	1	100%	0	0%	1	1	100%	0	0%
Concordia University	268	2	1	50%	1	50%	1	0	0%	1	100%
Golden Gate University	151	1	1	100%	0	0%	1	1	100%	0	0%
Holy Names University, Oakland	122	1	1	100%	0	0%	1	1	100%	0	0%
Hope International University	131	2	2	100%	0	0%	1	1	100%	0	0%
John F. Kennedy University, Orinda	124	5	2	40%	3	60%	2	2	100%	0	0%
Loma Linda University	125	5	2	40%	3	60%	2	1	50%	1	50%
Loyola Marymount University, Los Angeles	126	6	3	50%	3	50%	4	2	50%	2	50%
National University	129	4	2	50%	2	50%	3	2	67%	1	33%
Notre Dame de Namur University	116	5	4	80%	1	20%	5	4	80%	1	20%
Out-of-State	300	46	30	65%	16	35%	28	25	89%	3	11%
Pacific Graduate School of Psychology, Palo Alto	149	1	1	100%	0	0%	0	0		0	
Pacific Oaks College, Pasadena	133	1	1	100%	0	0%	1	1	100%	0	0%
Pacifica Graduate Institute, Carpenteria	154	2	2	100%	0	0%	2	2	100%	0	0%
Palo Alto University	258	2	1	50%	1	50%	1	1	100%	0	0%
Pepperdine University, Malibu	135	7	7	100%	0	0%	7	7	100%	0	0%
San Diego State University	015	7	4	57%	3	43%	6	4	67%	2	33%
San Diego University for Integrative Studies	244	1	1	100%	0	0%	1	1	100%	0	0%
San Francisco State University	016	2	0	0%	2	100%	2	0	0%	2	100%
San Jose State University	017	3	1	33%	2	67%	2	1	50%	1	50%
Sonoma State University	018	1	1	100%	0	0%	1	1	100%	0	0%
St. Mary's College of CA, Moraga	136	2	2	100%	0	0%	2	2	100%	0	0%
The Chicago School of Professional Psychology at Los	251	2	2	100%	0	0%	2	2	100%	0	0%

EXAM RESULTS BY SCHOOL

EXAM DATES: Jul 1, 2023 THROUGH Sep 30, 2023

SCHOOL			E)	XAM RESUL	TS		FIRST TIMER				
SCHOOL NAME	CODE	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT	TAKING EXAM	PASSED	PASSED PERCENT	FAILED	FAILED PERCENT
Angeles											
TOURA UNIVERSITY	262	1	0	0%	1	100%	1	0	0%	1	100%
UC, San Diego	054	1	1	100%	0	0%	0	0		0	
University of La Verne, La Verne	140	1	0	0%	1	100%	0	0		0	
University of Phoenix, San Diego	236	1	0	0%	1	100%	1	0	0%	1	100%
University of Redlands	259	4	2	50%	2	50%	3	2	67%	1	33%
University of San Diego, San Diego	142	6	5	83%	1	17%	5	4	80%	1	20%
University of San Francisco, San Francisco	143	7	6	86%	1	14%	5	5	100%	0	0%
Western Seminary (Western Conservative Baptist Seminary)	232	2	2	100%	0	0%	2	2	100%	0	0%
Wright Institute, Berkeley	150	2	2	100%	0	0%	2	2	100%	0	0%
NCMHCE Ex	am TO	TAI· 2	03 1	41 699	% 6:	2 31%	147	117	80%	30	20%

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Office of Professional Examination Services 2420 Del Paso Road, Suite 265, Sacramento CA 95834 P 916-575-7240 | F 916-419-1697 |



MEMORANDUM

SUBJECT	Occupational Analysis and Examination Outline for the Licensed Marriage and Family Therapist Law and Ethics Examination
FROM	Karen Okicich Karen Okicich, Research Data Supervisor II Office of Professional Examination Services
ТО	Steve Sodergren, Executive Officer Board of Behavioral Sciences
DATE	October 3, 2023

Background

The Board of Behavioral Sciences (Board) requested that the Department of Consumer Affairs' Office of Professional Examination Services (OPES) conduct an occupational analysis (OA) and update the examination outline for the Licensed Marriage and Family Therapist (LMFT) Law and Ethics Examination. The purpose of the OA was to identify the tasks and knowledge required for legal and ethical LMFT practice in California. The results of the OA were then used to develop the California Licensed Marriage and Family Therapist Law and Ethics Examination (LMLE).

Adherence to Legal Standards and Guidelines

Licensing, certification, and registration programs in the State of California adhere strictly to federal and state laws and regulations, as well as to professional guidelines and technical standards. For the purpose of occupational analyses, the following laws and guidelines are authoritative:

- California Business and Professions Code section 139.
- Uniform Guidelines on Employee Selection Procedures (1978), Code of Federal Regulations, title 29, Section 1607.
- California Fair Employment and Housing Act, Government Code section 12944.
- Principles for the Validation and Use of Personnel Selection Procedures (2003),
 Society for Industrial and Organizational Psychology (SIOP).

OA for LMFT Law and Ethics Examination (LMLE) Page 2

 Standards for Educational and Psychological Testing (2014), American Educational Research Association, American Psychological Association, and National Council on Measurement in Education.

As part of the examination process used for licensure, the LMLE must meet these standards and must be based upon the legal and ethical activities required for practice.

Content Validation Strategy

OPES used a content validation strategy to ensure that the OA reflects the laws and ethical principles necessary to perform as a practicing LMFT. OPES incorporated the technical expertise of California LMFTs throughout the OA process to ensure that the identified tasks and knowledge statements directly reflect requirements for performance in current practice.

<u>Participation of Subject Matter Experts</u>

The Board and OPES selected California LMFTs to participate as subject matter experts (SMEs) during the OA process. These SMEs were selected from a broad range of years licensed, geographic location, and practice settings. During the development phase, the SMEs provided information regarding the different aspects of current LMFT practice. The SMEs also provided technical expertise during workshops that were convened to evaluate and refine tasks and knowledge statements and to finalize the examination outline.

Development of Tasks and Knowledge Statements

To develop tasks and knowledge statements pertaining to legal and ethical LMFT practice, OPES test specialists gathered information from literature reviews of profession-related sources (e.g., laws and regulations, previous OA reports, articles, industry publications) and from interviews with SMEs.

In February 2023, OPES test specialists facilitated a workshop with 8 SMEs from diverse backgrounds (i.e., years licensed, geographic location, and practice settings) to evaluate the tasks and knowledge statements for technical accuracy and comprehensiveness. Additional tasks and knowledge statements were developed as needed.

During this workshop, SMEs assigned each task and knowledge statement to a content area (Law or Ethics) and verified that the content areas were independent and nonoverlapping. The SMEs also confirmed the linkage of tasks and knowledge

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statements to ensure that every task had a related knowledge statement, and every knowledge statement had a related task.

In May 2023, OPES test specialists facilitated a second workshop with 8 SMEs from diverse backgrounds (i.e., years licensed, geographic location, and practice settings) to finalize the tasks and knowledge statements. The SMEs also reviewed the preliminary assignments of the tasks and knowledge statements to content areas from the February 2023 workshop. The SMEs established the final linkage of specific knowledge statements to tasks, reviewed the content areas, and wrote descriptions for each content area.

Examination Outline

The SMEs in the May 2023 workshop then determined weights for the content areas that would form the basis of the LMLE examination outline. The SMEs determined the weights by reviewing the following elements for each content area: the tasks and knowledge statements involved; the linkage established between the tasks and knowledge statements; and the relative importance of the tasks to LMFT practice in California. The SMEs also created subareas within each content area and distributed weights across the subareas by consensus.

Table 1. Summary of the LMLE Content Area and Subarea Weights

Content Area	Subarea	Weight
1. Law		44%
	1A. Consent, Confidentiality, and Privilege	16%
	1B. Limits to Confidentiality and Mandated Reporting	16%
	1C. Legal Standards for Professional Practice	12%
2. Ethics		56%
	2A. Professional Competence and Integrity	16%
	2B. Responsibility to Clients and Counseling Relationships	24%
	2C. Practice Policies and Professional Responsibilities	16%

The final examination outline for the California Licensed Marriage and Family Therapist Law and Ethics Examination is attached.

If you have any questions about this memo, I can be reached at (916) 574-7596.

Attachment

Section	Tasks	Knowledge Statements
1A. Consent, Confidentiality, and Privilege (16%)	T1. Disclose professional information required under law to facilitate clients' ability to make treatment decisions.	 K1. Knowledge of laws regarding treatment-related disclosures that must be provided to clients. K2. Knowledge of laws regarding disclosure of licensure or registration status. K3. Knowledge of laws regarding disclosure of fees and good faith estimates.
	T2. Comply with laws regarding informed consent to authorize treatment.	K4. Knowledge of laws regarding informed consent.
	T3. Comply with laws related to treating minors to provide services to children, adolescents, and teens.	 K5. Knowledge of laws regarding treating minor clients. K6. Knowledge of laws regarding consent to treat and refuse treatment of a minor. K7. Knowledge of laws regarding voluntary and involuntary treatment of minors. K8. Knowledge of laws regarding access to information related to minor treatment. K9. Knowledge of laws regarding payment of services for treatment of minors. K10. Knowledge of laws regarding interactions with third-party payors or other parties in the treatment of minors.

Section	Tasks	Knowledge Statements
1A. Consent, Confidentiality, and Privilege, continued (16%)	T4. Maintain confidentiality to protect the client's right to privacy in the therapeutic relationship.	K11. Knowledge of laws regarding therapist-client communications.K12. Knowledge of laws regarding confidentiality with different units of treatment.K13. Knowledge of laws regarding the release of confidential therapy information.
	T5. Identify the holder of privilege to determine requirements regarding client-therapist communications.	K14. Knowledge of laws regarding holder of privilege. K15. Knowledge of laws regarding privileged communications.
	T6. Respond to requests for records according to legal requirements to protect clients' rights and safety.	K16. Knowledge of laws regarding the client's rights to access therapy records.K17. Knowledge of laws regarding the release of records to other individuals, professionals, or third parties.
	T7. Comply with laws regarding the disclosure of privileged information in judicial and legal matters.	K18. Knowledge of laws regarding privilege and privileged communications in judicial and legal matters. K19. Knowledge of legal requirements for responding to subpoenas and court orders.

Section	Tasks	Knowledge Statements
1A. Consent, Confidentiality, and Privilege, continued (16%)	T8. Comply with laws regarding telehealth to deliver services using information and communication technologies.	 T20. Knowledge of laws regarding disclosures and consent specific to telehealth. T21. Knowledge of laws regarding resources and emergency practices required under telehealth. T22. Knowledge of laws regarding assessments and verifications that must be performed under telehealth. T23. Knowledge of laws regarding geographic limitations for providing telehealth. T24. Knowledge of laws regarding the standards of practice and delivery of telehealth.
	T9. Comply with the provisions of the Health Information Portability and Accountability Act (HIPAA) to protect sensitive client information.	K25. Knowledge of requirements of the Health Information Portability and Accountability Act (HIPAA).

Section	Tasks	Knowledge Statements
1B. Limits to Confidentiality and Mandated Reporting (16%)	T10. Report known or suspected child abuse or neglect to protect the health and safety of minors.	 K26. Knowledge of the signs of child or adolescent abuse and neglect. K27. Knowledge of laws regarding mandated reporting of child abuse or neglect. K28. Knowledge of procedures for filing a mandated report with protective authorities.
	T11. Report known or suspected abuse, neglect, or exploitation of dependent adults to protect vulnerable populations.	 K29. Knowledge of the signs of abuse, neglect, and exploitation of dependent adults. K30. Knowledge of laws regarding mandated reporting of abuse, neglect, and exploitation of dependent adults. K28. Knowledge of procedures for filing a mandated report with protective authorities.
	T12. Report known or suspected abuse, neglect, or exploitation of older adults to protect vulnerable populations.	 K31. Knowledge of the signs of abuse, neglect, and exploitation of older adults. K32. Knowledge of laws regarding mandated reporting of abuse, neglect, and exploitation of older adults. K28. Knowledge of procedures for filing a mandated report with protective authorities.
	T13. Comply with laws regarding breaking confidentiality to protect clients who indicate a potential danger to self or others.	 K33. Knowledge of signs of imminent harm to self or others. K34. Knowledge of criteria for initiating involuntary hospitalization. K35. Knowledge of laws regarding client rights in situations requiring involuntary hospitalization. K36. Knowledge of laws regarding breaking confidentiality to protect clients or others.

Section	Tasks	Knowledge Statements
1B. Limits to Confidentiality and Mandated Reporting, continued (16%)	T14. Comply with laws regarding breaking confidentiality to protect clients who demonstrate grave disability.	 K37. Knowledge of signs of impairment that require a higher level of intervention or hospitalization. K38. Knowledge of laws regarding breaking confidentiality to address psychiatric crises. K34. Knowledge of criteria for initiating involuntary hospitalization. K35. Knowledge of laws regarding client rights in situations requiring involuntary hospitalization.
	T15. Comply with laws regarding privilege exceptions to address situations involving client litigation.	K39. Knowledge of laws regarding privilege in litigation involving client's mental or emotional condition.K40. Knowledge of laws regarding privilege exceptions in breach of duty allegations.
	T16. Comply with laws regarding privilege exceptions in court-appointed or defendant-requested evaluation or treatment.	K41. Knowledge of laws regarding privilege exceptions in court-appointed evaluation or treatment.K42. Knowledge of laws regarding privilege exceptions in defendant-requested evaluation or treatment.

Section	Tasks	Knowledge Statements
1C. Legal Standards for Professional	T17. Comply with laws regarding scope of practice to maintain professional boundaries.	K43. Knowledge of legal requirements regarding associate and LMFT scope of practice.
Practice (12%)	T18. Comply with laws regarding professional conduct to maintain professional integrity.	K44. Knowledge of laws regarding professional conduct.
	T19. Comply with laws regarding sexual contact, sexual suggestion, and sexual behaviors toward clients.	K45. Knowledge of laws regarding sexual contact, sexual suggestion, and sexual behaviors with clients.K46. Knowledge of laws regarding providing clients with the booklet Therapy Never Includes Sexual Behavior.
	T20. Maintain client records according to legal requirements for documentation, storage, and disposal.	K47. Knowledge of laws regarding documentation of therapeutic services.K48. Knowledge of laws regarding the storage and disposal of records.
	T21. Advertise services using accurate information to prevent false, misleading, or exploitive messaging.	K49. Knowledge of laws regarding advertising as an associate and LMFT.K50. Knowledge of laws regarding advertising claims, statements, and practices.

Section	Tasks	Knowledge Statements
1C. Legal Standards for Professional Practice, continued (12%)	T22. Comply with laws regarding fees, billing, and payment policies that protect clients from financial harm or exploitation.	 K51. Knowledge of laws prohibiting collusion. K52. Knowledge of laws regarding notification of fee changes. K53. Knowledge of laws regarding the collection of fees and surcharges. K54. Knowledge of legal requirements regarding the collection of unpaid balances.
(1270)	T23. Comply with laws regarding the payment or acceptance of money or other consideration for referrals.	K55. Knowledge of laws regarding payment or acceptance of money or other consideration for referrals.
	T24. Comply with laws regarding interactions with third-party payors to assist clients in receiving services.	K56. Knowledge of laws regarding third-party billing and reimbursement practices. K57. Knowledge of laws regarding parity in mental health services.

Section	Tasks	Knowledge Statements
2A. Professional Competence and Integrity (16%)	T25. Practice within scope of competence to provide treatment consistent with level of training and experience.	 K58. Knowledge of ethical standards regarding the use of techniques or approaches within level of competence. K59. Knowledge of ethical standards regarding remaining current on professional developments. K60. Knowledge of methods for managing treatment situations outside scope of competence. K61. Knowledge of methods for developing or expanding competence in areas of practice.
	T26. Maintain competence in providing services that are culturally sensitive and diversity informed.	 K62. Knowledge of ethical standards regarding cultural competence and working with diverse clients. K63. Knowledge of methods for demonstrating cultural humility and cultural awareness. K64. Knowledge of methods for expanding competence in working with diverse clients. K65. Knowledge of ethical standards prohibiting discrimination in professional services and conduct.
	T27. Manage conflict of interest situations to prevent client exploitation and impairment to professional judgment.	 K66. Knowledge of ethical standards regarding conflict-of-interest situations. K67. Knowledge of methods for managing conflict of interest situations. K68. Knowledge of methods for managing conflicting therapist roles.

Section	Tasks	Knowledge Statements
2A. Professional Competence and Integrity, continued (16%)	T28. Maintain professional boundaries in a manner congruent with therapeutic role.	 K69. Knowledge of types of relationships that may result in client exploitation or impaired professional judgment. K70. Knowledge of ethical standards regarding maintaining boundaries consistent with therapist role. K71. Knowledge of ethical standards regarding physical contact with clients. K72. Knowledge of ethical standards regarding dual or multiple relationships with clients, former clients, or others. K73. Knowledge of methods for preventing boundary confusion in the therapeutic relationship. K74. Knowledge of methods for managing avoidable and unavoidable dual or multiple relationships.
	T29. Adhere to ethical standards regarding nonprofessional interactions and sexual activity, contact, or intimacy with clients and others.	 T75. Knowledge of ethical standards regarding nonprofessional interactions with clients and others. T76. Knowledge of ethical standards regarding sexual contact, intimacy, or explicit communications with clients and others. T77. Knowledge of ethical standards regarding sexual harassment and use of derogatory language with or about clients and others. T78. Knowledge of ethical standards regarding therapeutic relationships with former sexual or intimate partners. T79. Knowledge of types of situations that indicate a need for professional assistance or consultation.

Section	Tasks	Knowledge Statements
2A. Professional Competence and Integrity, continued (16%)	T30. Maintain awareness of personal values, beliefs, and reactions to manage the potential impact on treatment.	 T80. Knowledge of ethical standards regarding personal values, beliefs, reactions, and professional objectivity. T81. Knowledge of methods for managing the impact of personal values, beliefs, and reactions on treatment. T79. Knowledge of types of situations that indicate a need for professional assistance or consultation.
	T31. Manage personal mental, emotional, and physical issues to prevent impairment to professional performance or judgment.	 T82. Knowledge of ethical standards regarding personal issues and professional performance. T83. Knowledge of methods for managing the impact of personal issues on professional performance or judgment. T79. Knowledge of types of situations that indicate a need for professional assistance or consultation.
	T32. Adhere to ethical standards regarding practicing while impaired to prevent harm to the client or therapeutic relationship.	 T84. Knowledge of ethical standards regarding practicing when physically or psychologically impaired. T85. Knowledge of ethical standards regarding practicing under the influence of alcohol or other substances. K79. Knowledge of types of situations that indicate a need for professional assistance or consultation.

Section	Tasks	Knowledge Statements
2B. Responsibility to Clients and Counseling Relationships (24%)	T33. Obtain consent for treatment to respect clients' right to make meaningful treatment decisions.	 K86. Knowledge of ethical standards regarding providing clients with information about treatment and alternatives. K87. Knowledge of ethical standards regarding obtaining informed consent from clients and legal representatives. K88. Knowledge of ethical standards regarding clients' right to withdraw consent. K89. Knowledge of methods for ensuring clients understand informed consent information and their rights. K90. Knowledge of methods for protecting the interest of clients who are unable to provide independent or voluntary consent.
	T34. Evaluate nature of clients' relationship with other treatment providers to prevent confusion or duplication of services.	K91. Knowledge of ethical standards regarding accepting clients who are receiving services from other providers.K92. Knowledge of ethical standards regarding client transfers.
	T35. Provide services congruent with clients' medically or psychologically necessary care.	K93. Knowledge of ethical standards regarding providing services in the best interest of clients. K94. Knowledge of ethical standards regarding accepting incentives for withholding or limiting client care.
	T36. Promote well-being of clients to protect their inherent dignity and welfare.	K95. Knowledge of ethical standards regarding acting in the best interest of clients.K96. Knowledge of methods for enhancing the well-being of individuals, families, and groups.

Section	Tasks	Knowledge Statements
2B. Responsibility to Clients and Counseling Relationships, continued (24%)	T37. Respect clients' right to autonomy to promote their ability to make own choices and decisions.	K97. Knowledge of ethical standards regarding clients' right to make treatment decisions.
	T38. Manage issues related to confidentiality to protect clients' privacy and the therapeutic relationship.	 K98. Knowledge of ethical standards regarding confidentiality in therapeutic processes. K99. Knowledge of ethical standards regarding confidentiality with couples, families, and groups. K100. Knowledge of methods for minimizing harm to clients or others when disclosures are requested or required. K101. Knowledge of methods for managing breaches of confidentiality. K102. Knowledge of methods for managing confidentiality during consultations with other professionals. K103. Knowledge of methods for managing confidentiality with third-party payors.
	T39. Manage safety concerns and crisis situations that arise during therapy to protect clients and others.	K104. Knowledge of ethical obligations regarding the management of safety needs. K105. Knowledge of methods for addressing client safety needs.
	T40. Manage the impact of legal and ethical obligations that arise during treatment.	 K106. Knowledge of methods for protecting the best interest of clients in situations where legal and ethical obligations arise. K107. Knowledge of methods for protecting the best interest of clients in situations where workplace and ethical obligations conflict.

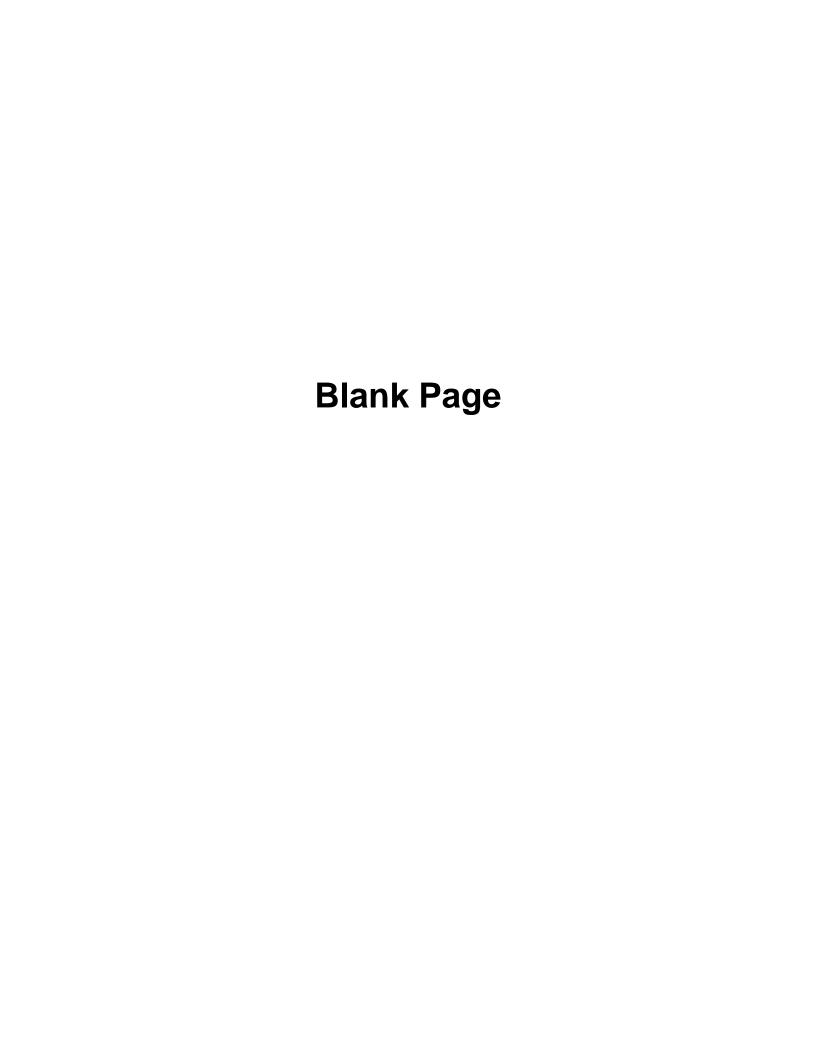
Section	Tasks	Knowledge Statements
2B. Responsibility to Clients and Counseling Relationships, continued (24%)	T41. Advocate with and on behalf of clients to assist in obtaining resources that meet clients' mental health needs.	K108. Knowledge of ethical standards regarding advocating for resources that meet clients' mental health needs.
	T42. Collaborate with other professionals as part of multidisciplinary teams to meet clients' needs.	K109. Knowledge of ethical standards for participating as a member of interdisciplinary teams.K110. Knowledge of ethical standards regarding client rights during consultations and collaborations with other professionals.
	T43. Refer clients to qualified professionals to address situations that require adjunctive or alternate expertise.	K111. Knowledge of ethical standards regarding referrals for services that meet client needs.K112. Knowledge of ethical standards regarding transferring clients to other professionals.
	T44. Manage situations in which services must be interrupted or discontinued to minimize disruption to clients' care.	K113. Knowledge of ethical standards regarding continuity of care. K114. Knowledge of ethical standards regarding providing clients with notification and options when services are interrupted or discontinued.
	T45. Terminate services when treatment is no longer needed or is no longer beneficial to the client's well-being.	 K115. Knowledge of ethical standards regarding discontinuing services. K116. Knowledge of methods for preventing client abandonment. K117. Knowledge of ethical standards regarding the termination of services to pursue social, financial, or personal interests.

Section	Tasks	Knowledge Statements
2C. Practice Policies and Professional Responsibilities (16%)	T46. Maintain ethical policies regarding the use of technology to deliver services and communicate with clients and others.	 K118. Knowledge of ethical standards regarding the use of electronic media to communicate and disseminate information. K119. Knowledge of ethical standards regarding delivering services through technology. K120. Knowledge of ethical standards regarding upholding the client's well-being in service delivery using technology. K121. Knowledge of methods for managing confidentiality with the use of technology in service delivery. K122. Knowledge of methods for assessing competence with using technology for service delivery. K123. Knowledge of ethical standards regarding managing emergency situations that arise during telehealth. K124. Knowledge of ethical standards regarding managing technological disruptions. K125. Knowledge of ethical standards regarding gathering, managing, and storing information electronically.
	T47. Maintain financial policies that conform to professional practices and are commensurate with services provided.	 K126. Knowledge of ethical standards regarding setting fees and collecting payments. K127. Knowledge of ethical standards regarding collecting unpaid balances. K128. Knowledge of ethical standards regarding financial business practices and forms of payment. K129. Knowledge of ethical standards regarding financial interactions with third-party payors. K130. Knowledge of ethical standards regarding accepting goods or services as payment for professional services.

Section	Tasks	Knowledge Statements
2C. Practice Policies and Professional Responsibilities, continued (16%)	T48. Adhere to ethical standards regarding accepting gifts and tokens of appreciation from clients or others.	K131. Knowledge of ethical standards regarding of accepting gifts and tokens of appreciation.
	T49. Advertise services using accurate representations and acceptable means to promote or expand practice or services provided.	 K132. Knowledge of ethical standards regarding advertising. K133. Knowledge of ethical standards regarding soliciting and using testimonials or endorsements. K134. Knowledge of ethical standards regarding recruiting clients through employment and professional associations.
	T50. Manage client records consistent with ethical standards to document services and minimize potential for harm.	 K135. Knowledge of ethical standards regarding documenting services consistent with clinical best practices. K136. Knowledge of ethical standards regarding protecting client records. K137. Knowledge of ethical standards regarding clients' access to treatment records. K138. Knowledge of methods for managing client records requests. K139. Knowledge of methods for assisting clients in understanding information in treatment records.

Licensed Marriage and Family Therapist Law and Ethics Examination Outline

Section	Tasks	Knowledge Statements
2C. Practice Policies and Professional Responsibilities, continued (16%)	T51. Maintain professionalism when acting in different roles or capacities to avoid confusion or inaccurate representation.	 K140. Knowledge of ethical standards about clarifying roles when acting in capacity other than LMFT. K141. Knowledge of ethical standards regarding conflicting or dual roles. K142. Knowledge of ethical standards regarding providing professional testimony, opinions, and evaluations.
	T52. Adhere to ethical guidelines for conducting evaluations and research to protect the rights of clients and others.	K143. Knowledge of ethical standards regarding client rights in evaluations and research.K144. Knowledge of ethical standards regarding consent and consent withdrawal during evaluations and research.
	T53. Address unethical or incompetent conduct of colleagues to protect the well-being and best interest of clients.	K145. Knowledge of types of unethical colleague behaviors that require intervention or action. K146. Knowledge of ethical standards regarding addressing unethical or incompetent conduct of colleagues.
	T54. Adhere to ethical guidelines for engaging in the supervisor/prelicensure practitioner relationship.	K147. Knowledge of ethical standards pertaining to the supervisor/prelicensure practitioner relationship and responsibilities.





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MEMORANDUM

SUBJECT	Office of Professional Examination Services Occupational Analysis and Examination Outline for the Licensed Clinical Social Worker Law and Ethics Examination	
FROM	Karen Okicich Karen Okicich, Research Data Supervisor II	
то	Steve Sodergren, Executive Officer Board of Behavioral Sciences	
DATE	October 3, 2023	

Background

The Board of Behavioral Sciences (Board) requested that the Department of Consumer Affairs' Office of Professional Examination Services (OPES) conduct an occupational analysis (OA) and update the examination outline for the Licensed Clinical Social Worker (LCSW) Law and Ethics Examination. The purpose of the OA was to identify the tasks and knowledge required for legal and ethical LCSW practice in California. The results of the OA were then used to develop the California Licensed Clinical Social Worker Law and Ethics Examination (LCLE).

Adherence to Legal Standards and Guidelines

Licensing, certification, and registration programs in the State of California adhere strictly to federal and state laws and regulations, as well as to professional guidelines and technical standards. For the purpose of occupational analyses, the following laws and guidelines are authoritative:

- California Business and Professions Code section 139.
- Uniform Guidelines on Employee Selection Procedures (1978), Code of Federal Regulations, title 29, Section 1607.
- California Fair Employment and Housing Act, Government Code section 12944.
- Principles for the Validation and Use of Personnel Selection Procedures (2003), Society for Industrial and Organizational Psychology (SIOP).

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 Standards for Educational and Psychological Testing (2014), American Educational Research Association, American Psychological Association, and National Council on Measurement in Education.

As part of the examination process used for licensure, the LCLE must meet these standards and must be based upon the legal and ethical activities required for practice.

Content Validation Strategy

OPES used a content validation strategy to ensure that the OA reflects the laws and ethical principles necessary to perform as a practicing LCSW. OPES incorporated the technical expertise of California LCSWs throughout the OA process to ensure that the identified tasks and knowledge statements directly reflect requirements for performance in current practice.

<u>Participation of Subject Matter Experts</u>

The Board and OPES selected California LCSWs to participate as subject matter experts (SMEs) during the OA process. These SMEs were selected from a broad range of years licensed, geographic location, and practice settings. During the development phase, the SMEs provided information regarding the different aspects of current LCSW practice. The SMEs also provided technical expertise during workshops that were convened to evaluate and refine tasks and knowledge statements and to finalize the examination outline.

Development of Tasks and Knowledge Statements

To develop tasks and knowledge statements pertaining to legal and ethical LCSW practice, OPES test specialists gathered information from literature reviews of profession-related sources (e.g., laws and regulations, previous OA reports, articles, industry publications) and from interviews with SMEs.

In January 2023, OPES test specialists facilitated a workshop with 8 SMEs from diverse backgrounds (i.e., years licensed, geographic location, and practice settings) to evaluate the tasks and knowledge statements for technical accuracy and comprehensiveness. Additional tasks and knowledge statements were developed as needed.

During this workshop, SMEs assigned each task and knowledge statement to a content area (Law or Ethics) and verified that the content areas were independent and nonoverlapping. The SMEs also confirmed the linkage of tasks and knowledge

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Page 3

statements to ensure that every task had a related knowledge statement, and every knowledge statement had a related task.

In June 2023, OPES test specialists facilitated a second workshop with 8 SMEs from diverse backgrounds (i.e., years licensed, geographic location, and practice settings) to finalize the tasks and knowledge statements. The SMEs also reviewed the preliminary assignments of the tasks and knowledge statements to content areas from the January 2023 workshop. The SMEs established the final linkage of specific knowledge statements to tasks, reviewed the content areas, and wrote descriptions for each content area.

Examination Outline

The SMEs in the June 2023 workshop then determined weights for the content areas that would form the basis of the LCLE examination outline. The SMEs determined the weights by reviewing the following elements for each content area: the tasks and knowledge statements involved; the linkage established between the tasks and knowledge statements; and the relative importance of the tasks to LCSW practice in California. The SMEs also created subareas within each content area and distributed weights across the subareas by consensus. Table 1 shows a summary of the content area and subarea weights.

Table 1. Summary of the LCLE Content Area and Subarea Weights

Content Area	Subarea	Weight
1. Law		44%
	1A. Consent, Confidentiality, and Privilege	16%
	1B. Limits to Confidentiality and Mandated Reporting	16%
	1C. Legal Standards for Professional Practice	12%
2. Ethics		56%
	2A. Professional Competence and Integrity	16%
	2B. Responsibility to Clients and Counseling Relationships	24%
	2C. Practice Policies and Professional Responsibilities	16%

The final examination outline for the California Licensed Clinical Social Worker Law and Ethics Examination is attached.

If you have any questions about this memo, I can be reached at (916) 574-7596.

Attachment

Section	Tasks	Knowledge Statements
1A. Consent, Confidentiality, and Privilege (16%)	T1. Disclose professional information required under law to facilitate clients' ability to make treatment decisions.	 K1. Knowledge of laws regarding treatment-related disclosures that must be provided to clients. K2. Knowledge of laws regarding disclosure of licensure or registration status. K3. Knowledge of laws regarding disclosure of fees and good faith estimates.
	T2. Comply with laws regarding informed consent to authorize treatment.	K4. Knowledge of laws regarding informed consent.
	T3. Comply with laws related to treating minors to provide services to children, adolescents, and teens.	 K5. Knowledge of laws regarding treating minor clients. K6. Knowledge of laws regarding consent to treat and refuse treatment of a minor. K7. Knowledge of laws regarding voluntary and involuntary treatment of minors. K8. Knowledge of laws regarding access to information related to minor treatment. K9. Knowledge of laws regarding payment of services for treatment of minors. K10. Knowledge of laws regarding interactions with third-party payors or other parties in the treatment of minors.

Section	Tasks	Knowledge Statements
1A. Consent, Confidentiality, and Privilege, continued (16%)	T4. Maintain confidentiality to protect the client's right to privacy in the counseling relationship.	 K11. Knowledge of laws regarding social worker-client communications. K12. Knowledge of laws regarding confidentiality with different units of treatment. K13. Knowledge of laws regarding the release of confidential counseling information.
	T5. Identify the holder of privilege to determine requirements regarding client-therapist communications.	K14. Knowledge of laws regarding holder of privilege. K15. Knowledge of laws regarding privileged communications.
	T6. Respond to requests for records according to legal requirements to protect clients' rights and safety.	K16. Knowledge of laws regarding the client's rights to access treatment records.K17. Knowledge of laws regarding the release of records to other individuals, professionals, or third parties.
	T7. Comply with laws regarding the disclosure of privileged information in judicial and legal matters.	K18. Knowledge of laws regarding privilege and privileged communications in judicial and legal matters.K19. Knowledge of legal requirements for responding to subpoenas and court orders.

Section	Tasks	Knowledge Statements
1A. Consent, Confidentiality, and Privilege, continued (16%)	T8. Comply with laws regarding telehealth to deliver services using information and communication technologies.	 T20. Knowledge of laws regarding disclosures and consent specific to telehealth. T21. Knowledge of laws regarding resources and emergency practices required under telehealth. T22. Knowledge of laws regarding assessments and verifications that must be performed under telehealth. T23. Knowledge of laws regarding geographic limitations for providing telehealth. T24. Knowledge of laws regarding the standards of practice and delivery of telehealth.
	T9. Comply with the provisions of the Health Information Portability and Accountability Act (HIPAA) to protect sensitive client information.	K25. Knowledge of requirements of the Health Information Portability and Accountability Act (HIPAA).

Section	Tasks	Knowledge Statements
1B.Limits to Confidentiality and Mandated Reporting (16%)	T10. Report known or suspected child abuse or neglect to protect the health and safety of minors.	 K26. Knowledge of the signs of child or adolescent abuse and neglect. K27. Knowledge of laws regarding mandated reporting of child abuse or neglect. K28. Knowledge of procedures for filing a mandated report with protective authorities.
	T11. Report known or suspected abuse, neglect, or exploitation of dependent adults to protect vulnerable populations.	 K29. Knowledge of the signs of abuse, neglect, and exploitation of dependent adults. K30. Knowledge of laws regarding mandated reporting of abuse, neglect, and exploitation of dependent adults. K28. Knowledge of procedures for filing a mandated report with protective authorities.
	T12. Report known or suspected abuse, neglect, or exploitation of older adults to protect vulnerable populations.	 K31. Knowledge of the signs of abuse, neglect, and exploitation of older adults. K32. Knowledge of laws regarding mandated reporting of abuse, neglect, and exploitation of older adults. K28. Knowledge of procedures for filing a mandated report with protective authorities.
	T13. Comply with laws regarding breaking confidentiality to protect clients who indicate a potential danger to self or others.	 K33. Knowledge of signs of imminent harm to self or others. K34. Knowledge of criteria for initiating involuntary hospitalization. K35. Knowledge of laws regarding client rights in situations requiring involuntary hospitalization. K36. Knowledge of laws regarding breaking confidentiality to protect clients or others.

Section	Tasks	Knowledge Statements
1B. Limits to Confidentiality and Mandated Reporting, continued (16%)	T14. Comply with laws regarding breaking confidentiality to protect clients who demonstrate grave disability.	 K37. Knowledge of signs of impairment that require a higher level of intervention or hospitalization. K38. Knowledge of laws regarding breaking confidentiality to address psychiatric crises. K34. Knowledge of criteria for initiating involuntary hospitalization. K35. Knowledge of laws regarding client rights in situations requiring involuntary hospitalization.
	T15. Comply with laws regarding privilege exceptions to address situations involving client litigation.	K39. Knowledge of laws regarding privilege in litigation involving client's mental or emotional condition.K40. Knowledge of laws regarding privilege exceptions in breach of duty allegations.
	T16. Comply with laws regarding privilege exceptions in court-appointed or defendant-requested evaluation or treatment.	K41. Knowledge of laws regarding privilege exceptions in court-appointed evaluation or treatment.K42. Knowledge of laws regarding privilege exceptions in defendant-requested evaluation or treatment.

Section	Tasks	Knowledge Statements
1C. Legal Standards for Professional	T17. Comply with laws regarding scope of practice to maintain professional boundaries.	K43. Knowledge of legal requirements regarding associate and LCSW scope of practice.
Practice (12%)	T18. Comply with laws regarding professional conduct to maintain professional integrity.	K44. Knowledge of laws regarding professional conduct.
	T19. Comply with laws regarding sexual contact, sexual suggestion, and sexual behaviors toward clients.	 K45. Knowledge of laws regarding sexual contact, sexual suggestion, and sexual behaviors with clients. K46. Knowledge of laws regarding providing clients with the booklet Therapy Never Includes Sexual Behavior.
	T20. Maintain client records according to legal requirements for documentation, storage, and disposal.	K47. Knowledge of laws regarding documentation of counseling services.K48. Knowledge of laws regarding the storage and disposal of records.
	T21. Advertise services using accurate information to prevent false, misleading, or exploitive messaging.	K49. Knowledge of laws regarding advertising as an associate and LCSW.K50. Knowledge of laws regarding advertising claims, statements, and practices.

Section	Tasks	Knowledge Statements
1C. Legal Standards for Professional Practice, continued (12%)	T22. Comply with laws regarding fees, billing, and payment policies that protect clients from financial harm or exploitation.	 K51. Knowledge of laws prohibiting collusion. K52. Knowledge of laws regarding notification of fee changes. K53. Knowledge of laws regarding the collection of fees and surcharges. K54. Knowledge of legal requirements regarding the collection of unpaid balances.
	T23. Comply with laws regarding the payment or acceptance of money or other consideration for referrals.	K55. Knowledge of laws regarding payment or acceptance of money or other consideration for referrals.
	T24. Comply with laws regarding interactions with third-party payors to assist clients in receiving services.	K56. Knowledge of laws regarding third-party billing and reimbursement practices.K57. Knowledge of laws regarding parity in mental health services.

Section	Tasks	Knowledge Statements
2A. Professional Competence and Integrity (16%)	T25. Practice within scope of competence to provide treatment consistent with level of training and experience.	 K58. Knowledge of ethical standards regarding the use of techniques or approaches within level of competence. K59. Knowledge of ethical standards regarding remaining current on professional developments. K60. Knowledge of methods for managing treatment situations outside scope of competence. K61. Knowledge of methods for developing or expanding competence in areas of practice.
	T26. Maintain competence in providing services that are culturally sensitive and diversity informed.	 K62. Knowledge of ethical standards regarding cultural competence and working with diverse clients. K63. Knowledge of methods for demonstrating cultural humility and cultural awareness. K64. Knowledge of methods for expanding competence in working with diverse clients. K65. Knowledge of ethical standards prohibiting discrimination in professional services and conduct.
	T27. Manage conflict of interest situations to prevent client exploitation and impairment to professional judgment.	 K66. Knowledge of ethical standards regarding conflict-of-interest situations. K67. Knowledge of methods for managing conflict of interest situations. K68. Knowledge of methods for managing conflicting roles.

Section	Tasks	Knowledge Statements
2A. Professional Competence and Integrity, continued (16%)	T28. Maintain professional boundaries in a manner congruent with counselor role.	 K69. Knowledge of types of relationships that may result in client exploitation or impaired professional judgment. K70. Knowledge of ethical standards regarding maintaining boundaries consistent with counselor role. K71. Knowledge of ethical standards regarding physical contact with clients. K72. Knowledge of ethical standards regarding dual or multiple relationships with clients, former clients, or others. K73. Knowledge of methods for preventing boundary confusion in the counseling relationship. K74. Knowledge of methods for managing avoidable and unavoidable dual or multiple relationships.
	T29. Adhere to ethical standards regarding nonprofessional interactions and sexual activity, contact, or intimacy with clients and others.	 T75. Knowledge of ethical standards regarding nonprofessional interactions with clients and others. T76. Knowledge of ethical standards regarding sexual contact, intimacy, or explicit communications with clients and others. T77. Knowledge of ethical standards regarding sexual harassment and use of derogatory language with or about clients and others. T78. Knowledge of ethical standards regarding counseling relationships with former sexual or intimate partners. T79. Knowledge of types of situations that indicate a need for professional assistance or consultation.

Section	Tasks	Knowledge Statements
2A. Professional Competence and Integrity, continued (16%)	T30. Maintain awareness of personal values, beliefs, and reactions to manage the potential impact on treatment.	 T80. Knowledge of ethical standards regarding personal values, beliefs, reactions, and professional objectivity. T81. Knowledge of methods for managing the impact of personal values, beliefs, and reactions on treatment. T79. Knowledge of types of situations that indicate a need for professional assistance or consultation.
	T31. Manage personal mental, emotional, and physical issues to prevent impairment to professional performance or judgment.	 T82. Knowledge of ethical standards regarding personal issues and professional performance. T83. Knowledge of methods for managing the impact of personal issues on professional performance or judgment. T79. Knowledge of types of situations that indicate a need for professional assistance or consultation.
	T32. Adhere to ethical standards regarding practicing while impaired to prevent harm to the client or counseling relationship.	 T84. Knowledge of ethical standards regarding practicing when physically or psychologically impaired. T85. Knowledge of ethical standards regarding practicing under the influence of alcohol or other substances. K79. Knowledge of types of situations that indicate a need for professional assistance or consultation.

Section	Tasks	Knowledge Statements
2B. Responsibility to Clients and Social Work Relationships (24%)	T33. Obtain consent for treatment to respect clients' right to make meaningful treatment decisions.	 K86. Knowledge of ethical standards regarding providing clients with information about treatment and alternatives. K87. Knowledge of ethical standards regarding obtaining informed consent from clients and legal representatives. K88. Knowledge of ethical standards regarding clients' right to withdraw consent. K89. Knowledge of methods for ensuring clients understand informed consent information and their rights. K90. Knowledge of methods for protecting the interest of clients who are unable to provide independent or voluntary consent.
	T34. Evaluate nature of clients' relationship with other treatment providers to prevent confusion or duplication of services.	K91. Knowledge of ethical standards regarding accepting clients who are receiving services from other providers. K92. Knowledge of ethical standards regarding client transfers.
	T35. Provide services congruent with clients' medically or psychologically necessary care.	K93. Knowledge of ethical standards regarding providing services in the best interest of clients. K94. Knowledge of ethical standards regarding accepting incentives for withholding or limiting client care.
	T36. Promote well-being of clients to protect their inherent dignity and welfare.	K95. Knowledge of ethical standards regarding acting in the best interest of clients. K96. Knowledge of methods for enhancing the well-being of individuals, families, and groups.

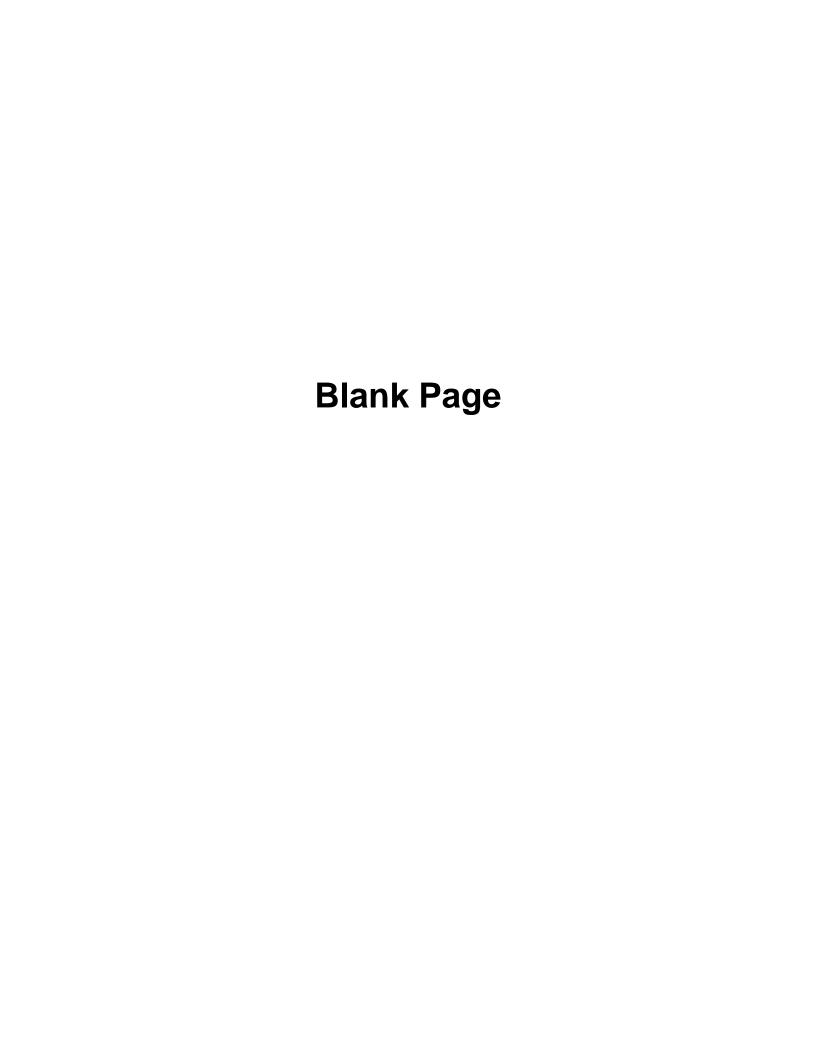
Section	Tasks	Knowledge Statements
2B. Responsibility to Clients and Social Work	T37. Respect clients' right to autonomy to promote their ability to make own choices and decisions.	K97. Knowledge of ethical standards regarding clients' right to make treatment decisions.
Relationships, continued (24%)	T38. Manage issues related to confidentiality to protect clients' privacy and the treatment relationship.	 K98. Knowledge of ethical standards regarding confidentiality in treatment processes. K99. Knowledge of ethical standards regarding confidentiality with couples, families, and groups. K100. Knowledge of methods for minimizing harm to clients or others when disclosures are requested or required. K101. Knowledge of methods for managing breaches of confidentiality. K102. Knowledge of methods for managing confidentiality during consultations with other professionals. K103. Knowledge of methods for managing confidentiality with third-party payors.
	T39. Manage safety concerns and crisis situations that arise during counseling to protect clients and others.	K104. Knowledge of ethical obligations regarding the management of safety needs. K105. Knowledge of methods for addressing client safety needs.
	T40. Manage the impact of legal and ethical obligations that arise during counseling.	 K106. Knowledge of methods for protecting the best interest of clients in situations where legal and ethical obligations arise. K107. Knowledge of methods for protecting the best interest of clients in situations where workplace and ethical obligations conflict.

Section	Tasks	Knowledge Statements
2B. Responsibility to Clients and Social Work Relationships, continued (24%)	T41. Advocate with and on behalf of clients to assist in obtaining resources that meet clients' mental health needs.	K108. Knowledge of ethical standards regarding advocating for resources that meet clients' mental health needs.
	T42. Collaborate with other professionals as part of multidisciplinary teams to meet clients' needs.	 K109. Knowledge of ethical standards for participating as a member of interdisciplinary teams. K110. Knowledge of ethical standards regarding client rights during consultations and collaborations with other professionals.
	T43. Refer clients to qualified professionals to address situations that require adjunctive or alternate expertise.	K111. Knowledge of ethical standards regarding referrals for services that meet client needs.K112. Knowledge of ethical standards regarding transferring clients to other professionals.
	T44. Manage situations in which services must be interrupted or discontinued to minimize disruption to clients' care.	K113. Knowledge of ethical standards regarding continuity of care.K114. Knowledge of ethical standards regarding providing clients with notification and options when services are interrupted or discontinued.
	T45. Terminate services when counseling is no longer needed or is no longer beneficial to the client's well-being.	 K115. Knowledge of ethical standards regarding discontinuing services. K116. Knowledge of methods for preventing client abandonment. K117. Knowledge of ethical standards regarding the termination of services to pursue social, financial, or personal interests.

Section	Tasks	Knowledge Statements
2C. Practice Policies and Professional Responsibilitie s (16%)	T46. Maintain ethical policies regarding the use of technology to deliver services and communicate with clients and others.	 K118. Knowledge of ethical standards regarding the use of electronic media to communicate and disseminate information. K119. Knowledge of ethical standards regarding delivering services through technology. K120. Knowledge of ethical standards regarding upholding the client's well-being in service delivery using technology. K121. Knowledge of methods for managing confidentiality with the use of technology in service delivery. K122. Knowledge of methods for assessing competence with using technology for service delivery. K123. Knowledge of ethical standards regarding managing emergency situations that arise during telehealth. K124. Knowledge of ethical standards regarding managing technological disruptions. K125. Knowledge of ethical standards regarding gathering, managing, and storing information electronically.
	T47. Maintain financial policies that conform to professional practices and are commensurate with services provided.	 K126. Knowledge of ethical standards regarding setting fees and collecting payments. K127. Knowledge of ethical standards regarding collecting unpaid balances. K128. Knowledge of ethical standards regarding financial business practices and forms of payment. K129. Knowledge of ethical standards regarding financial interactions with third-party payors. K130. Knowledge of ethical standards regarding accepting goods or services as payment for professional services.

Section	Tasks	Knowledge Statements
2C. Practice Policies and Professional	T48. Adhere to ethical standards regarding accepting gifts and tokens of appreciation from clients or others.	K131. Knowledge of ethical standards regarding of accepting gifts and tokens of appreciation.
Responsibilities, continued (16%)	T49. Advertise services using accurate representations and acceptable means to promote or expand practice or services provided.	 K132. Knowledge of ethical standards regarding advertising. K133. Knowledge of ethical standards regarding soliciting and using testimonials or endorsements. K134. Knowledge of ethical standards regarding recruiting clients through employment and professional associations.
	T50. Manage client records consistent with ethical standards to document services and minimize potential for harm.	 K135. Knowledge of ethical standards regarding documenting services consistent with clinical best practices. K136. Knowledge of ethical standards regarding protecting client records. K137. Knowledge of ethical standards regarding clients' access to treatment records. K138. Knowledge of methods for managing client records requests. K139. Knowledge of methods for assisting clients in understanding information in treatment records.

Section	Tasks	Knowledge Statements
2C. Practice Policies and Professional Responsibilities, continued (16%)	T51. Maintain professionalism when acting in different roles or capacities to avoid confusion or inaccurate representation.	 K140. Knowledge of ethical standards about clarifying roles when acting in capacity other than LPCC. K141. Knowledge of ethical standards regarding conflicting or dual roles. K142. Knowledge of ethical standards regarding providing professional testimony, opinions, and evaluations.
	T52. Adhere to ethical guidelines for conducting evaluations and research to protect the rights of clients and others.	K143. Knowledge of ethical standards regarding client rights in evaluations and research. K144. Knowledge of ethical standards regarding consent and consent withdrawal during evaluations and research.
	T53. Address unethical or incompetent conduct of colleagues to protect the well-being and best interest of clients.	K145. Knowledge of types of unethical colleague behaviors that require intervention or action. K146. Knowledge of ethical standards regarding addressing unethical or incompetent conduct of colleagues.
	T54. Adhere to ethical guidelines for engaging in the supervisor/prelicensure practitioner relationship.	K147. Knowledge of ethical standards pertaining to the supervisor/prelicensure practitioner relationship and responsibilities.





OFFICE OF PROFESSIONAL EXAMINATION SERVICES 2420 Del Paso Road, Suite 265, Sacramento CA 95834 P 916-575-7240 | F 916-419-1697 |



MEMORANDUM

SUBJECT	Occupational Analysis and Examination Outline for the Licensed Professional Clinical Counselor Law and Ethics Examination	
FROM	Karen Okicich Karen Okicich, Research Data Supervisor II Office of Professional Examination Services	
то	Steve Sodergren, Executive Officer Board of Behavioral Sciences	
DATE	October 3, 2023	

Background

The Board of Behavioral Sciences (Board) requested that the Department of Consumer Affairs' Office of Professional Examination Services (OPES) conduct an occupational analysis (OA) and update the examination outline for the Licensed Professional Clinical Counselor (LPCC) Law and Ethics Examination. The purpose of the OA was to identify the tasks and knowledge required for legal and ethical LPCC practice in California. The results of the OA were then used to develop the California Licensed Professional Clinical Counselor Law and Ethics Examination (LEEW).

Adherence to Legal Standards and Guidelines

Licensing, certification, and registration programs in the State of California adhere strictly to federal and state laws and regulations, as well as to professional guidelines and technical standards. For the purpose of occupational analyses, the following laws and guidelines are authoritative:

- California Business and Professions Code section 139.
- Uniform Guidelines on Employee Selection Procedures (1978), Code of Federal Regulations, title 29, Section 1607.
- California Fair Employment and Housing Act, Government Code section 12944.
- Principles for the Validation and Use of Personnel Selection Procedures (2003),
 Society for Industrial and Organizational Psychology (SIOP).

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 Standards for Educational and Psychological Testing (2014), American Educational Research Association, American Psychological Association, and National Council on Measurement in Education.

As part of the examination process used for licensure, the LEEW must meet these standards and must be based upon the legal and ethical activities required for practice.

Content Validation Strategy

OPES used a content validation strategy to ensure that the OA reflects the laws and ethical principles necessary to perform as a practicing LPCC. OPES incorporated the technical expertise of California LPCCs throughout the OA process to ensure that the identified tasks and knowledge statements directly reflect requirements for performance in current practice.

<u>Participation of Subject Matter Experts</u>

The Board and OPES selected California LPCCs to participate as subject matter experts (SMEs) during the OA process. These SMEs were selected from a broad range of years licensed, geographic location, and practice settings. During the development phase, the SMEs provided information regarding the different aspects of current LPCC practice. The SMEs also provided technical expertise during workshops that were convened to evaluate and refine tasks and knowledge statements and to finalize the examination outline.

Development of Tasks and Knowledge Statements

To develop tasks and knowledge statements pertaining to legal and ethical LPCC practice, OPES test specialists gathered information from literature reviews of profession-related sources (e.g., laws and regulations, previous OA reports, articles, industry publications) and from interviews with SMEs.

In February 2023, OPES test specialists facilitated a workshop with 8 SMEs from diverse backgrounds (i.e., years licensed, geographic location, and practice settings) to evaluate the tasks and knowledge statements for technical accuracy and comprehensiveness. Additional tasks and knowledge statements were developed as needed.

During this workshop, SMEs assigned each task and knowledge statement to a content area (Law or Ethics) and verified that the content areas were independent and nonoverlapping. The SMEs also confirmed the linkage of tasks and knowledge

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statements to ensure that every task had a related knowledge statement, and every knowledge statement had a related task.

In April 2023, OPES test specialists facilitated a second workshop with 8 SMEs from diverse backgrounds (i.e., years licensed, geographic location, and practice settings) to finalize the tasks and knowledge statements. The SMEs also reviewed the preliminary assignments of the tasks and knowledge statements to content areas from the February 2023 workshop. The SMEs established the final linkage of specific knowledge statements to tasks, reviewed the content areas, and wrote descriptions for each content area.

Examination Outline

The SMEs in the April 2023 workshop then determined weights for the content areas that would form the basis of the LEEW examination outline. The SMEs determined the weights by reviewing the following elements for each content area: the tasks and knowledge statements involved; the linkage established between the tasks and knowledge statements; and the relative importance of the tasks to LPCC practice in California. The SMEs also created subareas within each content area and distributed weights across the subareas by consensus. Table 1 shows a summary of the content area and subarea weights.

Table 1. Summary of the LEEW Content Area and Subarea Weights

Content Area	Subarea	Weight
1. Law		44%
	1A. Consent, Confidentiality, and Privilege	16%
	1B. Limits to Confidentiality and Mandated Reporting	
	1C. Legal Standards for Professional Practice	
2. Ethics		56%
	2A. Professional Competence and Integrity	16%
	2B. Responsibility to Clients and Counseling Relationships	24%
	2C. Practice Policies and Professional Responsibilities	16%

The final examination outline for the California Licensed Professional Clinical Counselor Law and Ethics Examination is attached.

If you have any questions about this memo, I can be reached at (916) 574-7596.

Attachment

Section	Tasks	Knowledge Statements
1A.Consent, Confidentiality, and Privilege (16%)	T1. Disclose professional information required under law to facilitate clients' ability to make treatment decisions.	 K1. Knowledge of laws regarding counseling-related disclosures that must be provided to clients. K2. Knowledge of laws regarding disclosure of licensure or registration status. K3. Knowledge of laws regarding disclosure of fees and good faith estimates.
	T2. Comply with laws regarding informed consent to authorize treatment.	K4. Knowledge of laws regarding informed consent.
	T3. Comply with laws related to treating minors to provide services to children, adolescents, and teens.	 K5. Knowledge of laws regarding treating minor clients. K6. Knowledge of laws regarding consent to treat and refuse treatment of a minor. K7. Knowledge of laws regarding voluntary and involuntary treatment of minors. K8. Knowledge of laws regarding access to information related to minor treatment. K9. Knowledge of laws regarding payment of services for treatment of minors. K10. Knowledge of laws regarding interactions with third-party payors or other parties in the treatment of minors.

Section	Tasks	Knowledge Statements
1A. Consent, Confidentiality, and Privilege, continued (16%)	T4. Maintain confidentiality to protect the client's right to privacy in the counseling relationship.	 K11. Knowledge of laws regarding counselor-client communications. K12. Knowledge of laws regarding confidentiality with different units of treatment. K13. Knowledge of laws regarding the release of confidential counseling information.
	T5. Identify the holder of privilege to determine requirements regarding client-counselor communications.	K14. Knowledge of laws regarding holder of privilege. K15. Knowledge of laws regarding privileged communications.
	T6. Respond to requests for records according to legal requirements to protect clients' rights and safety.	K16. Knowledge of laws regarding the client's rights to access treatment records.K17. Knowledge of laws regarding the release of records to other individuals, professionals, or third parties.
	T7. Comply with laws regarding the disclosure of privileged information in judicial and legal matters.	K18. Knowledge of laws regarding privilege and privileged communications in judicial and legal matters.K19. Knowledge of legal requirements for responding to subpoenas and court orders.

Section	Tasks	Knowledge Statements
1A.Consent, Confidentiality, and Privilege, continued (16%)	T8. Comply with laws regarding telehealth to deliver services using information and communication technologies.	 T20. Knowledge of laws regarding disclosures and consent specific to telehealth. T21. Knowledge of laws regarding resources and emergency practices required under telehealth. T22. Knowledge of laws regarding assessments and verifications that must be performed under telehealth. T23. Knowledge of laws regarding geographic limitations for providing telehealth. T24. Knowledge of laws regarding the standards of practice and delivery of telehealth.
	T9. Comply with the provisions of the Health Information Portability and Accountability Act (HIPAA) to protect sensitive client information.	K25. Knowledge of requirements of the Health Information Portability and Accountability Act (HIPAA).

Section	Tasks	Knowledge Statements
1B.Limits to Confidentiality and Mandated Reporting (16%)	T10. Report known or suspected child abuse or neglect to protect the health and safety of minors.	 K26. Knowledge of the signs of child or adolescent abuse and neglect. K27. Knowledge of laws regarding mandated reporting of child abuse or neglect. K28. Knowledge of procedures for filing a mandated report with protective authorities.
	T11. Report known or suspected abuse, neglect, or exploitation of dependent adults to protect vulnerable populations.	 K29. Knowledge of the signs of abuse, neglect, and exploitation of dependent adults. K30. Knowledge of laws regarding mandated reporting of abuse, neglect, and exploitation of dependent adults. K28. Knowledge of procedures for filing a mandated report with protective authorities.
	T12. Report known or suspected abuse, neglect, or exploitation of older adults to protect vulnerable populations.	 K31. Knowledge of the signs of abuse, neglect, and exploitation of older adults. K32. Knowledge of laws regarding mandated reporting of abuse, neglect, and exploitation of older adults. K28. Knowledge of procedures for filing a mandated report with protective authorities.
	T13. Comply with laws regarding breaking confidentiality to protect clients who indicate a potential danger to self or others.	 K33. Knowledge of signs of imminent harm to self or others. K34. Knowledge of criteria for initiating involuntary hospitalization. K35. Knowledge of laws regarding client rights in situations requiring involuntary hospitalization. K36. Knowledge of laws regarding breaking confidentiality to protect clients or others.

Section	Tasks	Knowledge Statements
1B. Limits to Confidentiality and Mandated Reporting, continued (16%)	T14. Comply with laws regarding breaking confidentiality to protect clients who demonstrate grave disability.	 K37. Knowledge of signs of impairment that require a higher level of intervention or hospitalization. K38. Knowledge of laws regarding breaking confidentiality to address psychiatric crises. K34. Knowledge of criteria for initiating involuntary hospitalization. K35. Knowledge of laws regarding client rights in situations requiring involuntary hospitalization.
	T15. Comply with laws regarding privilege exceptions to address situations involving client litigation.	K39. Knowledge of laws regarding privilege in litigation involving client's mental or emotional condition.K40. Knowledge of laws regarding privilege exceptions in breach of duty allegations.
	T16. Comply with laws regarding privilege exceptions in court-appointed or defendant-requested evaluation or treatment.	K41. Knowledge of laws regarding privilege exceptions in court-appointed evaluation or treatment.K42. Knowledge of laws regarding privilege exceptions in defendant-requested evaluation or treatment.

Section	Tasks	Knowledge Statements
1C. Legal Standards for Professional Practice (12%)	T17. Comply with laws regarding scope of practice to maintain professional boundaries.	K43. Knowledge of legal requirements regarding associate and LPCC scope of practice.
	T18. Comply with laws regarding professional conduct to maintain professional integrity.	K44. Knowledge of laws regarding professional conduct.
	T19. Comply with laws regarding sexual contact, sexual suggestion, and sexual behaviors toward clients.	K45. Knowledge of laws regarding sexual contact, sexual suggestion, and sexual behaviors with clients.K46. Knowledge of laws regarding providing clients with the booklet Therapy Never Includes Sexual Behavior.
	T20. Maintain client records according to legal requirements for documentation, storage, and disposal.	K47. Knowledge of laws regarding documentation of counseling services.K48. Knowledge of laws regarding the storage and disposal of records.
	T21. Advertise services using accurate information to prevent false, misleading, or exploitive messaging.	K49. Knowledge of laws regarding advertising as an associate and LPCC.K50. Knowledge of laws regarding advertising claims, statements, and practices.

Section	Tasks	Knowledge Statements
1C. Legal Standards for Professional Practice, continued	T22. Comply with laws regarding fees, billing, and payment policies that protect clients from financial harm or exploitation.	 K51. Knowledge of laws prohibiting collusion. K52. Knowledge of laws regarding notification of fee changes. K53. Knowledge of laws regarding the collection of fees and surcharges. K54. Knowledge of legal requirements regarding the collection of unpaid balances.
(12%)	T23. Comply with laws regarding the payment or acceptance of money or other consideration for referrals.	K55. Knowledge of laws regarding payment or acceptance of money or other consideration for referrals.
	T24. Comply with laws regarding interactions with third-party payors to assist clients in receiving services.	K56. Knowledge of laws regarding third-party billing and reimbursement practices. K57. Knowledge of laws regarding parity in mental health services.

Section	Tasks	Knowledge Statements
2A. Professional Competence and Integrity (16%)	Competence provide treatment consistent with level of and Integrity training and experience.	 K58. Knowledge of ethical standards regarding the use of techniques or approaches within level of competence. K59. Knowledge of ethical standards regarding remaining current on professional developments. K60. Knowledge of methods for managing treatment situations outside scope of competence. K61. Knowledge of methods for developing or expanding competence in areas of practice.
	T26. Maintain competence in providing services that are culturally sensitive and diversity informed.	 K62. Knowledge of ethical standards regarding cultural competence and working with diverse clients. K63. Knowledge of methods for demonstrating cultural humility and cultural awareness. K64. Knowledge of methods for expanding competence in working with diverse clients. K65. Knowledge of ethical standards prohibiting discrimination in professional services and conduct.
	T27. Manage conflict of interest situations to prevent client exploitation and impairment to professional judgment.	 K66. Knowledge of ethical standards regarding conflict-of-interest situations. K67. Knowledge of methods for managing conflict of interest situations. K68. Knowledge of methods for managing conflicting counselor roles.

Section	Tasks	Knowledge Statements
2A. Professional Competence and Integrity, continued (16%)	T28. Maintain professional boundaries in a manner congruent with counselor role.	 K69. Knowledge of types of relationships that may result in client exploitation or impaired professional judgment. K70. Knowledge of ethical standards regarding maintaining boundaries consistent with counselor role. K71. Knowledge of ethical standards regarding physical contact with clients. K72. Knowledge of ethical standards regarding dual or multiple relationships with clients, former clients, or others. K73. Knowledge of methods for preventing boundary confusion in the counseling relationship. K74. Knowledge of methods for managing avoidable and unavoidable dual or multiple relationships.
	T29. Adhere to ethical standards regarding nonprofessional interactions and sexual activity, contact, or intimacy with clients and others.	 T75. Knowledge of ethical standards regarding nonprofessional interactions with clients and others. T76. Knowledge of ethical standards regarding sexual contact, intimacy, or explicit communications with clients and others. T77. Knowledge of ethical standards regarding sexual harassment and use of derogatory language with or about clients and others. T78. Knowledge of ethical standards regarding counseling relationships with former sexual or intimate partners. T79. Knowledge of types of situations that indicate a need for professional assistance or consultation.

Section	Tasks	Knowledge Statements
2A. Professional Competence and Integrity, continued (16%)	T30. Maintain awareness of personal values, beliefs, and reactions to manage the potential impact on treatment.	 T80. Knowledge of ethical standards regarding personal values, beliefs, reactions, and professional objectivity. T81. Knowledge of methods for managing the impact of personal values, beliefs, and reactions on treatment. T79. Knowledge of types of situations that indicate a need for professional assistance or consultation.
	T31. Manage personal mental, emotional, and physical issues to prevent impairment to professional performance or judgment.	 T82. Knowledge of ethical standards regarding personal issues and professional performance. T83. Knowledge of methods for managing the impact of personal issues on professional performance or judgment. T79. Knowledge of types of situations that indicate a need for professional assistance or consultation.
	T32. Adhere to ethical standards regarding practicing while impaired to prevent harm to the client or counseling relationship.	 T84. Knowledge of ethical standards regarding practicing when physically or psychologically impaired. T85. Knowledge of ethical standards regarding practicing under the influence of alcohol or other substances. K79. Knowledge of types of situations that indicate a need for professional assistance or consultation.

Section	Tasks	Knowledge Statements
2B.Responsibility to Clients and Counseling Relationships (24%)	T33. Obtain consent for treatment to respect clients' right to make meaningful treatment decisions.	 K86. Knowledge of ethical standards regarding providing clients with information about treatment and alternatives. K87. Knowledge of ethical standards regarding obtaining informed consent from clients and legal representatives. K88. Knowledge of ethical standards regarding clients' right to withdraw consent. K89. Knowledge of methods for ensuring clients understand informed consent information and their rights. K90. Knowledge of methods for protecting the interest of clients who are unable to provide independent or voluntary consent.
	T34. Evaluate nature of clients' relationship with other treatment providers to prevent confusion or duplication of services.	K91. Knowledge of ethical standards regarding accepting clients who are receiving services from other providers.K92. Knowledge of ethical standards regarding client transfers.
	T35. Administer clinical assessment instruments necessary for the provision of counseling services.	 K93. Knowledge of ethical standards regarding selecting, administering, reporting, and storing results of clinical assessments. K94. Knowledge of methods for preventing the misuse of results from assessment measures. K95. Knowledge of referral resources to address testing needs outside scope of practice.

Section	Tasks	Knowledge Statements
to Clients and Counseling Relationships (24%)	T36. Provide services congruent with clients' medically or psychologically necessary care.	K96. Knowledge of ethical standards regarding providing services in the best interest of clients.K97. Knowledge of ethical standards regarding accepting incentives for withholding or limiting client care.
	T37. Promote well-being of clients to protect their inherent dignity and welfare.	K98. Knowledge of ethical standards regarding acting in the best interest of clients.K99. Knowledge of methods for enhancing the well-being of individuals, families, and groups.
	T38. Respect clients' right to autonomy to promote their ability to make own choices and decisions.	K100. Knowledge of ethical standards regarding clients' right to make treatment decisions.
	T39. Manage issues related to confidentiality to protect clients' privacy and the counseling relationship.	 K101. Knowledge of ethical standards regarding confidentiality in counseling processes. K102. Knowledge of ethical standards regarding confidentiality with couples, families, and groups. K103. Knowledge of methods for minimizing harm to clients or others when disclosures are requested or required. K104. Knowledge of methods for managing breaches of confidentiality. K105. Knowledge of methods for managing confidentiality during consultations with other professionals. K106. Knowledge of methods for managing confidentiality with third-party payors.

Section	Tasks	Knowledge Statements
2B.Responsibility to Clients and Counseling Relationships , continued (24%)	T40. Manage safety concerns and crisis situations that arise during counseling to protect clients and others.	K107. Knowledge of ethical obligations regarding the management of safety needs. K108. Knowledge of methods for addressing client safety needs.
	T41. Manage the impact of legal and ethical obligations that arise during counseling.	 K109. Knowledge of methods for protecting the best interest of clients in situations where legal and ethical obligations arise. K110. Knowledge of methods for protecting the best interest of clients in situations where workplace and ethical obligations conflict.
	T42. Advocate with and on behalf of clients to assist in obtaining resources that meet clients' mental health needs.	K111. Knowledge of ethical standards regarding advocating for resources that meet clients' mental health needs.
	T43. Collaborate with other professionals as part of multidisciplinary teams to meet clients' needs.	 K112. Knowledge of ethical standards for participating as a member of interdisciplinary teams. K113. Knowledge of ethical standards regarding client rights during consultations and collaborations with other professionals.
	T44. Refer clients to qualified professionals to address situations that require adjunctive or alternate expertise.	K114. Knowledge of ethical standards regarding referrals for services that meet client needs. K115. Knowledge of ethical standards regarding transferring clients to other professionals.

Section	Tasks	Knowledge Statements
2B. Responsibility to Clients and Counseling Relationships,	T45. Manage situations in which services must be interrupted or discontinued to minimize disruption to clients' care.	K116. Knowledge of ethical standards regarding continuity of care. K117. Knowledge of ethical standards regarding providing clients with notification and options when services are interrupted or discontinued.
continued (24%)	T46. Terminate services when counseling is no longer needed or is no longer beneficial to the client's well-being.	 K118. Knowledge of ethical standards regarding discontinuing services. K119. Knowledge of methods for preventing client abandonment. K120. Knowledge of ethical standards regarding the termination of services to pursue social, financial, or personal interests.

Section	Tasks	Knowledge Statements
2C. Practice Policies and Professional Responsibilities (16%)	T47. Maintain ethical policies regarding the use of technology to deliver services and communicate with clients and others.	 K121. Knowledge of ethical standards regarding the use of electronic media to communicate and disseminate information. K122. Knowledge of ethical standards regarding delivering services through technology. K123. Knowledge of ethical standards regarding upholding the client's well-being in service delivery using technology. K124. Knowledge of methods for managing confidentiality with the use of technology in service delivery. K125. Knowledge of methods for assessing competence with using technology for service delivery. K126. Knowledge of ethical standards regarding managing emergency situations that arise during telehealth. K127. Knowledge of ethical standards regarding managing technological disruptions. K128. Knowledge of ethical standards regarding gathering, managing, and storing information electronically.
	T48. Maintain financial policies that conform to professional practices and are commensurate with services provided.	 K129. Knowledge of ethical standards regarding setting fees and collecting payments. K130. Knowledge of ethical standards regarding collecting unpaid balances. K131. Knowledge of ethical standards regarding financial business practices and forms of payment. K132. Knowledge of ethical standards regarding financial interactions with third-party payors. K133. Knowledge of ethical standards regarding accepting goods or services as payment for professional services.

Section	Tasks	Knowledge Statements
2C. Practice Policies and Professional Responsibilitie s, continued (16%)	T49. Adhere to ethical standards regarding accepting gifts and tokens of appreciation from clients or others.	K134. Knowledge of ethical standards regarding of accepting gifts and tokens of appreciation.
	T50. Advertise services using accurate representations and acceptable means to promote or expand practice or services provided.	 K135. Knowledge of ethical standards regarding advertising. K136. Knowledge of ethical standards regarding soliciting and using testimonials or endorsements. K137. Knowledge of ethical standards regarding recruiting clients through employment and professional associations.
	T51. Manage client records consistent with ethical standards to document services and minimize potential for harm.	 K138. Knowledge of ethical standards regarding documenting services consistent with clinical best practices. K139. Knowledge of ethical standards regarding protecting client records. K140. Knowledge of ethical standards regarding clients' access to treatment records. K141. Knowledge of methods for managing client records requests. K142. Knowledge of methods for assisting clients in understanding information in treatment records.

Section	Tasks	Knowledge Statements
2C. Practice Policies and Professional Responsibilities, continued (16%)	T52. Maintain professionalism when acting in different roles or capacities to avoid confusion or inaccurate representation.	 K143. Knowledge of ethical standards about clarifying roles when acting in capacity other than LPCC. K144. Knowledge of ethical standards regarding conflicting or dual roles. K145. Knowledge of ethical standards regarding providing professional testimony, opinions, and evaluations.
	T53. Adhere to ethical guidelines for conducting evaluations and research to protect the rights of clients and others.	K146. Knowledge of ethical standards regarding client rights in evaluations and research.K147. Knowledge of ethical standards regarding consent and consent withdrawal during evaluations and research.
	T54. Address unethical or incompetent conduct of colleagues to protect the wellbeing and best interest of clients.	K148. Knowledge of types of unethical colleague behaviors that require intervention or action. K149. Knowledge of ethical standards regarding addressing unethical or incompetent conduct of colleagues.
	T55. Adhere to ethical guidelines for engaging in the supervisor/prelicensure practitioner relationship.	K150. Knowledge of ethical standards pertaining to the supervisor/prelicensure practitioner relationship and responsibilities.