



1625 North Market Blvd., Suite S-200 Sacramento, CA 95834 (916) 574-7830, (916) 574-8625 Fax www.bbs.ca.gov

**To:** Board Members **Date:** November 10, 2025

From: <u>Enforcement Managers</u>

Gena Beaver Sam Hall

Ashley Castleberry

**Subject: Enforcement Report** 

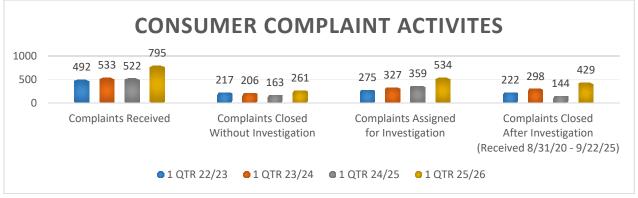
### **CONSUMER COMPLAINTS**

In the first quarter of FY 2025/2026:

- 795 complaints were received.
- 261 of those complaints were closed without investigation.
- 4 days was the average time to assign a complaint for investigation.
- 429 complaints were closed after investigation.
- 80 days was the average time to close a complaint.
- 4 complaints were referred to the Office of the Attorney General.
- 5 citations were issued.

The number of complaints received for the first quarter of FY 2025/2026 (795) is approximately 50% more than the number that was received for the first quarters of FY 2022/2023 (492), FY 2023/2024 (533), and FY 2024/2025 (522).

Additionally, based on the number of complaints received for this quarter, the Board is on pace to receive over 3,000 complaints for the entirety of FY 2025/2026.

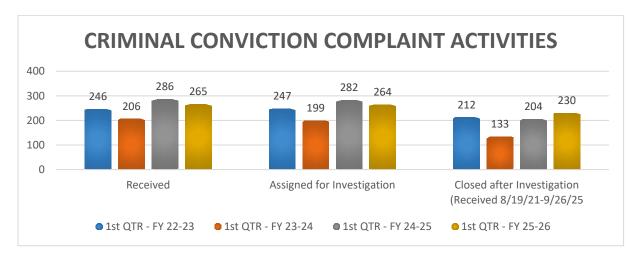


#### **CRIMINAL CONVICTIONS**

In the first quarter of FY 2025/2026:

- 265 criminal conviction cases were received.
- 264 cases were assigned for investigation.
- 230 cases were closed after investigation, which includes 16 application denials.
- 26 cases were referred to the Office of the Attorney General:
  - 12 Accusations requested.
  - o 11 Hearings requested for Appealing Application Denial.
  - 1 Suspension Order requested.
  - 2 Orders for Psychological Evaluations requested.

Based on the volume of new criminal cases received this quarter, the Board is projected to receive approximately 920 criminal conviction cases for the entirety of FY 2025/2026.



#### **PROBATION**

There were 14 new probationers this quarter bringing the total number of Board probationers to 139.

We received five petitions for early termination and two petitions to vacate default decisions.

PETITIONS RECEIVED July 1-September 2025		
Modification and Early Termination	5	
Reinstatement	0	
Reconsideration	0	
Vacate Default Decision	2	
Ineligible/withdrawn	0	

#### **CONTINUING EDUCATION**

Continuing Education (CE) audits have been completed for renewal cycles that ended April 30, 2025. CE audits for renewal cycles that ended May 31, 2025, are nearly complete, with 6 audits still pending. A total of 50 CE audit letters were sent out on October 31, 2025, for renewal cycles that ended June 30, 2025.

During the first quarter of FY 2025/2026, a total of 25 Citations and Fines were issued for failed CE audits. Fines ranged from \$150.00-\$1,200.00. The most frequent cause for a failed audit was a lack of response to the Board's audit letters. The second most common reason was due to a 1-3 hour deficiency out of the 36-hour minimum requirement. (Attachment A)

#### SUPERVISOR AUDITS

The Board is preparing to begin audits on licensees who have submitted a Supervisor Self-Assessment and have been designated as a Supervisor to ensure compliance with the continuing education requirements for supervisors set forth in regulations. Management and Board staff are working together on updating procedures and template letters and will begin the audits as soon as is feasible. The results of the supervisor audits will be provided at the next board meeting.

#### **Attachments**

**Attachment A: Continuing Education Audits** 

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## **CONTINUING EDUCATION AUDITS**

LMFT				
Renewal Cycle	Pass	Fail	Pending	Total Selected for Audit
May 1, 2022-April 30, 2024	7	11	0	18
April 1, 2023-March 31, 2025	19	5	0	24
May 1, 2023-April 30, 2025	15	7	0	22
June 1, 2023-May 31, 2025	13	6	3	22
July 1, 2023-June 30, 2025			21	21
Overall Pass/Fail Rate	64%	36%		

LPCC				
Renewal Cycle	Pass	Fail	Pending	Total Selected for Audit
May 1, 2022-April 30, 2024	5	5	0	10
April 1, 2023-March 31, 2025	6	4	0	10
May 1, 2023-April 30, 2025	3	4	0	7
June 1, 2023-May 31, 2025	3	2	0	5
July 1, 2023-June 30, 2025			7	7
Overall Pass/Fail Rate	53%	47%		

LCSW				
Renewal Cycle	Pass	Fail	Pending	Total Selected for Audit
May 1, 2022-April 30, 2024	4	9	0	13
April 1, 2023-March 31, 2025	13	7	0	20
May 1, 2023-April 30, 2025	10	8	0	18
June 1, 2023-May 31, 2025	8	6	3	17
July 1, 2023-June 30, 2025			17	17
Overall Pass/Fail Rate	52%	48%		

LEP				
Renewal Cycle	Pass	Fail	Pending	Total Selected for Audit
May 1, 2022-April 30, 2024	3	5	0	8
April 1, 2023-March 31, 2025	3	6	0	9
May 1, 2023-April 30, 2025	1	7	0	8
June 1, 2023-May 31, 2025	6	3	0	9
July 1, 2023-June 30, 2025			5	5
Overall Pass/Fail Rate	38%	63%		